

## General Assembly

## Raised Bill No. 5167

February Session, 2004

LCO No. 1006

\*01006\_\_\_\_\_GAE\*

Referred to Committee on Government Administration and Elections

Introduced by: (GAE)

## AN ACT CONCERNING COMPREHENSIVE CAMPAIGN FINANCE REFORM FOR STATE-WIDE CONSTITUTIONAL OFFICES AND THE GENERAL ASSEMBLY.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (Effective July 1, 2004, and applicable to elections held
- 2 in 2010, and thereafter) As used in sections 1 to 4, inclusive, 6 to 24,
- 3 inclusive, and 38 and 39 of this act:
- 4 (1) "Commission" means the State Elections Enforcement
- 5 Commission.
- 6 (2) "Convention" means "convention", as defined in section 9-372 of
- 7 the general statutes.
- 8 (3) "Depository account" means the single checking account at the
- 9 depository institution designated as the depository for the candidate
- 10 committee's moneys in accordance with the provisions of subsection
- 11 (a) of section 9-333f of the general statutes.
- 12 (4) "Elector" means any person possessing the qualifications

- prescribed by the constitution and duly admitted to, and entitled to exercise, the privileges of an elector in a town.
- 15 (5) "Fund" means the Citizens' Election Fund established in section 2 16 of this act.
- 17 (6) "Lobbyist" has the same meaning as "lobbyist", as defined in section 1-91 of the general statutes.
- 19 (7) "Major party" means "major party", as defined in section 9-372 of 20 the general statutes.
- 21 (8) "Minor party" means "minor party", as defined in section 9-372 of 22 the general statutes.
- 23 (9) "Permitted expenditure amount" means the aggregate of (A) the 24 amount of qualifying contributions permitted in section 9 of this act, 25 (B) the applicable amount of contributions that a candidate committee
- receives from party committees in accordance with the provisions of section 9-333s of the general statutes, as amended by this act, and (C)
- section 9-333s of the general statutes, as amended by this act, and (C) the amount of grants that a candidate committee receives from the
- 29 Citizens' Election Fund.
- 30 (10) "Qualified candidate committee" means a candidate committee
- 31 (A) established to aid or promote the success of any candidate for
- 32 nomination or election on or after January 1, 2010, to a state office, and
- 33 (B) which is approved by the commission to receive a grant from the
- 34 Citizens' Election Fund under section 14 of this act.
- 35 (11) "State office" means the office of Governor, Lieutenant
- 36 Governor, Attorney General, State Comptroller, State Treasurer,
- 37 Secretary of the State, state senator or state representative.
- 38 (12) "State office election" means the election for state offices held on
- 39 the first Tuesday after the first Monday in November in every fourth
- 40 year in accordance with the provisions of the Constitution of
- 41 Connecticut.

(13) "Associated business" has the same meaning as "business with which he is associated", as defined in section 9-333a, of the general statutes, as amended.

45 Sec. 2. (NEW) (Effective July 1, 2004, and applicable to elections held in 46 2010, and thereafter) There is established, within the General Fund, a 47 separate, nonlapsing account to be known as the "Citizens' Election 48 Fund". The fund may contain any moneys required by law to be 49 deposited in the fund. Investment earnings credited to the assets of the 50 fund shall become part of the assets of the fund. All moneys deposited 51 in the fund shall be used for the purposes of sections 1 to 4, inclusive, 6 52 to 24, inclusive, and 38 and 39 of this act. The State Elections 53 Enforcement Commission may deduct and retain from the moneys in 54 the fund an amount equal to the costs incurred by the commission in 55 administering the provisions of said sections 1 to 4, inclusive, 6 to 24, 56 inclusive, and 38 and 39, provided said amount shall not exceed three 57 per cent of the moneys deposited in the fund in any fiscal year. Any 58 portion of said three per cent allocation which exceeds said costs 59 incurred by the commission in any fiscal year shall continue to be 60 available for any said costs incurred by the commission in subsequent 61 fiscal years.

Sec. 3. (NEW) (Effective July 1, 2004, and applicable to taxable years commencing on or after January 1, 2004) (a) (1) Any taxpayer filing a return under chapter 229 of the general statutes for taxable years commencing on or after January 1, 2004, may contribute all or part of a refund under said chapter 229 to the Citizens' Election Fund established in section 2 of this act, by indicating on the tax return the amount to be contributed to the fund. Subject to the limit set forth in subdivision (4) of this subsection, the maximum amount of any such contribution shall be five thousand dollars per calendar year, except that, in the case of a husband and wife filing a joint tax return, the maximum amount of any such contribution shall be ten thousand dollars per calendar year.

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- (2) Any taxpayer filing a return under chapter 229 of the general statutes for taxable years commencing on or after January 1, 2004, whose income tax liability for the taxable year, before applying any credit under section 12-704c of the general statutes, as amended, is five dollars or more, may designate that five dollars of such tax liability shall be paid over to the fund by so indicating on the tax return. In the case of a husband and wife filing a joint return with an income tax liability of ten dollars or more, each spouse may designate that five dollars of such tax liability shall be paid over to the fund by so indicating on the tax return. Any designation made pursuant to this subdivision shall not increase the taxpayer's income tax liability.
- (3) Any taxpayer filing a return under chapter 229 of the general statutes may contribute an additional amount to the Citizens' Election Fund established in section 2 of this act, by indicating on the tax return the amount to be contributed to the fund. Subject to the limit set forth in subdivision (4) of this subsection, the maximum amount of any such contribution shall be five thousand dollars per calendar year, except that, in the case of a husband and wife filing a joint tax return, the maximum amount of any such contribution shall be ten thousand dollars per calendar year. Any contribution made pursuant to this subdivision shall be in addition to the amount of tax reported to be due on such return and shall be paid at the same time as the tax due on such return is paid and in the manner prescribed by the Commissioner of Revenue Services.
- (4) The total combined contributions that a taxpayer may make under subdivisions (1) and (3) of this subsection shall be five thousand dollars per calendar year, except that, in the case of a husband and wife filing a joint tax return, the total combined contributions that such husband and wife may make under subdivisions (1) and (3) of this subsection shall be ten thousand dollars per calendar year.
- 104 (b) A contribution or designation made pursuant to this section shall 105 be irrevocable upon the filing of the return. A taxpayer making a

106 contribution or designation pursuant to this subsection shall so 107 indicate on the tax return in a manner provided for by the 108 Commissioner of Revenue Services pursuant to subsection (c) of this 109 section.

- (c) The Commissioner of Revenue Services shall revise the income tax return form to implement the provisions of subsection (a) of this section. Such form shall include (1) a space on the return in which taxpayers may indicate their intention to make a contribution or designation in accordance with this section, and (2) instructions for payment of any contribution under subdivision (3) of subsection (a) of this section. The commissioner shall include in the instructions accompanying the tax return a description of the purposes for which the Citizens' Election Fund was established.
- (d) A contribution of all or part of a refund shall be made in the full amount indicated if the refund found due the taxpayer upon the initial processing of the return, and after any deductions required by chapter 229 of the general statutes, is greater than or equal to the indicated contribution. If the refund due, as determined upon initial processing, and after any deductions required by said chapter 229, is less than the indicated contribution, the contribution shall be made in the full amount of the refund. The Commissioner of Revenue Services shall subtract the amount of any contribution of all or part of a refund from the amount of the refund initially found due the taxpayer and shall certify (1) the amount of the refund initially found due the taxpayer, (2) the amount of any such contribution, and (3) the amount of the difference to the Secretary of the Office of Policy and Management and the State Treasurer for payment to the taxpayer in accordance with said chapter 229. For the purposes of any subsequent determination of the taxpayer's net tax payment, such contribution shall be considered a part of the refund paid to the taxpayer.
- (e) The Commissioner of Revenue Services, after notification of and approval by the Secretary of the Office of Policy and Management,

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- may deduct and retain from the moneys collected under subsections (a) to (d), inclusive, of this section an amount equal to the costs of
- administering this section, but not to exceed four per cent of such
- 141 moneys collected in any fiscal year. The Commissioner of Revenue
- 142 Services shall deposit the remaining moneys collected in the Citizens'
- 143 Election Fund.

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- (f) An amount equal to the amount contributed by a taxpayer under subdivisions (1) and (3) of subsection (a) of this section with respect to the preceding taxable year of the taxpayer shall be subtracted from the adjusted gross income of the taxpayer for the purposes of determining the Connecticut adjusted gross income of the taxpayer in section 12-701 of the general statutes, as amended.
  - Sec. 4. (NEW) (Effective July 1, 2004, and applicable to taxable years commencing on or after January 1, 2004) (a) (1) Any taxpayer filing a return under chapter 208 of the general statutes for taxable years commencing on or after January 1, 2004, may contribute all or part of a refund under said chapter 208 to the Citizens' Election Fund established in section 2 of this act, by indicating on the tax return the amount to be contributed to the fund. Subject to the limit set forth in subdivision (4) of this subsection, the maximum amount of any such contribution shall be ten thousand dollars per calendar year.
  - (2) Any taxpayer filing a return under chapter 208 of the general statutes for taxable years commencing on or after January 1, 2004, whose income tax liability for the taxable year, before applying any credits under chapter 208 of the general statutes, is five dollars or more, may designate that two hundred dollars of such tax liability or, if such tax liability is less than two hundred dollars, the full amount of such tax liability, shall be paid over to the Citizens' Election Fund established in section 2 of this act, by so indicating on the tax return. Any designation made pursuant to this subdivision shall not increase the taxpayer's income tax liability.
- 169 (3) Any taxpayer filing a return under chapter 208 of the general

- 170 statutes may contribute an additional amount to the Citizens' Election 171 Fund established in section 2 of this act, by indicating on the tax return 172 the amount to be contributed to the fund. Subject to the limit set forth 173 in subdivision (4) of this subsection, the maximum amount of any such 174 contribution shall be ten thousand dollars per calendar year. Any 175 contribution made pursuant to this subdivision shall be in addition to 176 the amount of tax reported to be due on such return and shall be paid 177 at the same time as the tax due on such return is paid and in the 178 manner prescribed by the Commissioner of Revenue Services.
  - (4) The total combined contributions that a taxpayer may make under subdivisions (1) and (3) of this subsection shall be ten thousand dollars per calendar year.
  - (b) A contribution or designation made pursuant to this section shall be irrevocable upon the filing of the return. A taxpayer making a contribution or designation pursuant to this subsection shall so indicate on the tax return in a manner provided for by the Commissioner of Revenue Services pursuant to subsection (c) of this section.
  - (c) The Commissioner of Revenue Services shall revise the income tax return form to implement the provisions of subsection (a) of this section. Such form shall include (1) a space on the return in which taxpayers may indicate their intention to make a contribution or designation in accordance with this section, and (2) instructions for payment of any contribution under subdivision (3) of subsection (a) of this section. The commissioner shall include in the instructions accompanying the tax return a description of the purposes for which the Citizens' Election Fund was established.
  - (d) A contribution of all or part of a refund shall be made in the full amount indicated if the refund found due the taxpayer upon the initial processing of the return, and after any deductions required by chapter 208 of the general statutes, is greater than or equal to the indicated contribution. If the refund due, as determined upon initial processing

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202 and after any deductions required by said chapter 208, is less than the 203 indicated contribution, the contribution shall be made in the full 204 amount of the refund. The Commissioner of Revenue Services shall 205 subtract the amount of any contribution of all or part of a refund from the amount of the refund initially found due the taxpayer and shall 206 207 certify (1) the amount of the refund initially due the taxpayer, (2) the 208 amount of any such contribution, and (3) the amount of the difference 209 to the Secretary of the Office of Policy and Management and the State 210 Treasurer for payment to the taxpayer in accordance with said chapter 211 208. For the purposes of any subsequent determination of the 212 taxpayer's net tax payment, such contribution shall be considered a 213 part of the refund paid to the taxpayer.

- (e) The Commissioner of Revenue Services, after notification of and approval by the Secretary of the Office of Policy and Management, may deduct and retain from the moneys collected under subsections (a) to (d), inclusive, of this section an amount equal to the costs of administering this section, but not to exceed four per cent of such moneys collected in any fiscal year. The Commissioner of Revenue Services shall deposit the remaining moneys collected in the Citizens' Election Fund.
- (f) An amount equal to the amount contributed by a taxpayer under subdivisions (1) and (3) of subsection (a) of this section with respect to the preceding taxable year of the taxpayer shall be deducted from the gross income of the taxpayer in arriving at net income as defined in section 12-213 of the general statutes.
- Sec. 5. Subsection (e) of section 9-333j of the general statutes, as amended by section 5 of public act 03-223 and section 62 of public act 03-241, is repealed and the following is substituted in lieu thereof (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter):
- (e) (1) Notwithstanding any provisions of this chapter to the contrary, in the event of a surplus the campaign treasurer of a

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candidate committee or of a political committee, other than a political committee formed for ongoing political activities or an exploratory committee, shall distribute or expend such surplus [within] not later than ninety days after a primary which results in the defeat of the candidate, an election or referendum not held in November or by January thirty-first following an election or referendum held in November, in the following manner:

- (A) Such committees may distribute their surplus to a party committee, or a political committee organized for ongoing political activities, return such surplus to all contributors to the committee on a prorated basis of contribution, <u>distribute all or any part of such surplus</u> to the Citizens' Election Fund established in section 2 of this act or distribute such surplus to any charitable organization which is a taxexempt organization under Section 501(c)(3) of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as from time to time amended, provided (i) no candidate committee may distribute such surplus to a committee which has been established to finance future political campaigns of the candidate, (ii) a candidate committee which received moneys from the Citizens' Election Fund shall distribute such surplus to such fund, and (iii) a candidate committee formed to aid or promote the success of a candidate for nomination or election to the office of Lieutenant Governor, the candidate of which campaigns jointly with a candidate for nomination or election to the office of Governor shall distribute such surplus in accordance with the provisions of section 17 of this act;
- (B) Each such political committee established by an organization which received its funds from the organization's treasury shall return its surplus to its sponsoring organization;
- (C) (i) Each political committee formed solely to aid or promote the success or defeat of any referendum question, which does not receive contributions from a business entity or an organization, shall distribute its surplus to a party committee, to a political committee organized for

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ongoing political activities, to a national committee of a political party, to all contributors to the committee on a prorated basis of contribution, to state or municipal governments or agencies or to any organization which is a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as from time to time amended. [, (ii) each] Each political committee formed solely to aid or promote the success or defeat of any referendum question, which receives contributions from a business entity or an organization, shall distribute its surplus to all contributors to the committee on a prorated basis of contribution, to state or municipal governments or agencies, or to any organization which is tax-exempt under said provisions of the Internal Revenue Code. Notwithstanding the provisions of this subsection, a committee formed for a single referendum shall not be required to expend its surplus within ninety days after the referendum and may continue in existence if a substantially similar referendum question on the same issue will be submitted to the electorate within six months after the first referendum. If two or more substantially similar referenda on the same issue are submitted to the electorate, each no more than six months apart, the committee shall expend such surplus within ninety days following the date of the last such referendum;

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- (D) The campaign treasurer of the candidate committee of a candidate who is elected to office may, upon the authorization of such candidate, expend surplus campaign funds to pay for the cost of clerical, secretarial or other office expenses necessarily incurred by such candidate in preparation for taking office; except such surplus shall not be distributed for the personal benefit of any individual or to any organization; and
- (E) The campaign treasurer of a candidate committee, or of a political committee, other than a political committee formed for ongoing political activities or an exploratory committee, shall, prior to the dissolution of such committee, either (i) distribute any equipment

299 purchased, including but not limited to computer equipment, to any 300 recipient as set forth in subparagraph (A) of this subdivision, or (ii) sell any equipment purchased, including but not limited to computer 302 equipment, to any person for fair market value and then distribute the 303 proceeds of such sale to any recipient as set forth in said subparagraph 304 (A).

- (2) Notwithstanding any provisions of this chapter to the contrary, the campaign treasurer of the candidate committee of a candidate who has withdrawn from a primary or election may, prior to the primary or election, distribute its surplus to any organization which is tax-exempt under Section 501(c)(3) of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as from time to time amended, or return such surplus to all contributors to the committee on a prorated basis of contribution.
- (3) [Within] Not later than seven days after such distribution or [within] not later than seven days after all funds have been expended in accordance with subparagraph (D) of subdivision (1) of this subsection, the campaign treasurer shall file a supplemental statement, sworn under penalty of false statement, with the proper authority, identifying all further contributions received since the previous statement and explaining how any surplus has been distributed or expended in accordance with this section. No surplus may be distributed or expended until after the election, primary or referendum.
- (4) In the event of a deficit the campaign treasurer shall file a supplemental statement ninety days after an election, primary or referendum not held in November or on the seventh calendar day in February, or the next business day if such day is a Saturday, Sunday or legal holiday, after an election or referendum held in November, with the proper authority and, thereafter, on the seventh day of each month following if on the last day of the previous month there was an increase or decrease in the deficit in excess of five hundred dollars

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from that reported on the last statement filed. The campaign treasurer shall file such supplemental statements as required until the deficit is eliminated. If any such committee does not have a surplus or a deficit, the statement required to be filed [within] not later than forty-five days following any election or referendum not held in November or on the seventh calendar day in January, or the next business day if such day is a Saturday, Sunday or legal holiday, following an election or referendum held in November, or [within] not later than thirty days following any primary shall be the last required statement.

Sec. 6. (NEW) (Effective July 1, 2004) All payments of civil penalties or late fees imposed by the State Elections Enforcement Commission or the Secretary of the State under title 9 of the general statutes or by the State Ethics Commission under chapter 10 of the general statutes, which are received after the effective date of this section, shall be immediately transmitted to the State Treasurer for deposit in the Citizens' Election Fund established in section 2 of this act.

Sec. 7. (NEW) (*Effective July 1, 2004*) Any person, business entity, organization, party committee or political committee, as defined in section 9-333a of the general statutes, as amended, may contribute to the Citizens' Election Fund. Any such contribution shall be made by check or money order. The commission shall immediately transmit all contributions received pursuant to this section to the State Treasurer for deposit in the Citizens' Election Fund.

Sec. 8. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) There is established a Citizens' Election Program under which the candidate committee of a candidate for nomination or election to a state office in 2010, or thereafter may receive grants from the Citizens' Election Fund for the candidate's campaign for such office. Any such candidate is eligible to receive such grants if (1) the candidate's candidate committee receives the required amount of qualifying contributions described in section 9 of this act, (2) the candidate's candidate committee returns all contributions that are not

qualifying contributions as described in section 9 of this act, (3) the candidate's exploratory committee, if any, returns all contributions that do not meet the criteria for qualifying contributions to a candidate committee as described in section 9 of this act, (4) the candidate agrees to limit campaign expenditures to not more than the aggregate of (A) the amount of qualifying contributions permitted in section 9 of this act, (B) the applicable amount of contributions that the candidate committee receives from party committees in accordance with the provisions of section 9-333s of the general statutes, as amended by this act, and (C) the amount of such grant or grants, and (5) the candidate complies with the requirements of section 14 of this act.

- Sec. 9. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) The amount of qualifying contributions which the candidate committee of a candidate needs to receive in order to be eligible for grants from the Citizens' Election Fund shall be:
- (1) In the case of a candidate for nomination or election to the office of Governor, contributions from individuals in the aggregate amount of two hundred thousand dollars, of which one hundred eighty thousand dollars or more is contributed by individuals residing in the state, provided (A) the candidate committee shall return the portion of any contribution or contributions from an individual other than such candidate that exceeds one hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received by an exploratory committee that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts;
- (2) In the case of a candidate for nomination or election to the office of Lieutenant Governor, Attorney General, State Comptroller, State Treasurer or Secretary of the State, contributions from individuals in the aggregate amount of forty thousand dollars, of which thirty-six thousand dollars or more is contributed by individuals residing in the state, provided (A) the candidate committee shall return the portion of

any contribution or contributions from an individual other than such candidate that exceeds one hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received by an exploratory committee that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts;

- (3) In the case of a candidate for nomination or election to the office of state senator, contributions from individuals in the aggregate amount of twenty thousand dollars, of which eighteen thousand dollars or more is contributed by individuals residing in the state, provided (A) the candidate committee shall return the portion of any contribution or contributions from an individual other than such candidate that exceeds one hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received by an exploratory committee that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts; and
- (4) In the case of a candidate for nomination or election to the office of state representative, contributions from individuals in the aggregate amount of five thousand dollars, of which four thousand five hundred dollars or more is contributed by individuals residing in the state, provided (A) the candidate committee shall return the portion of any contribution or contributions from an individual other than such candidate that exceeds one hundred dollars, and such excess portion shall not be considered in calculating such amounts, and (B) all contributions received by an exploratory committee that meet the criteria for qualifying contributions to candidate committees under this section shall be considered in calculating such amounts.
- (b) Each individual who makes a contribution to a candidate committee established to aid or promote the success of a participating candidate for nomination or election to a state office shall include with the contribution a certification that (1) neither the individual nor the

individual's spouse is a lobbyist, and (2) neither the individual, the individual's spouse nor an associated business of the individual or the individual's spouse has a contract with the state. A contribution from (A) a lobbyist or the spouse of a lobbyist, or (B) an individual who has a contract with the state, said individual's spouse or an individual whose associated business or spouse's associated business has a contract with the state shall not be deemed to be a qualifying contribution under subsection (a) of this section and shall be returned by the candidate committee.

(c) Each individual who makes a contribution to a candidate committee established to aid or promote the success of a participating candidate for nomination or election to a state office shall include the individual's name and address with the contribution. A contribution (1) from an individual that does not include such information, or (2) from an individual who does not reside in the state, in excess of the applicable limit on contributions from nonresidents in subsection (a) of this section, shall not be deemed to be a qualifying contribution under said subsection (a) and shall be returned by the candidate committee.

Sec. 10. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) The qualified candidate committee of a major party or minor party candidate for the office of Governor, who does not have a primary for nomination to such office, shall be eligible to receive a grant for each portion of the campaign for such office as follows: (1) Selection and support of delegates to a convention or circulation of petitions pursuant to sections 1 to 3, inclusive of public act 03-241, one hundred fifty thousand dollars; and (2) general election, two million one hundred thousand dollars.

(b) The qualified candidate committee of a major party or minor party candidate for the office of Governor, who has a primary for nomination to such office, shall be eligible to receive a grant for each portion of the campaign for such office as follows: (1) Selection and support of delegates to a convention or circulation of petitions

- pursuant to sections 1 to 3, inclusive of public act 03-241, one hundred fifty thousand dollars; (2) primary for nomination, eight hundred thousand dollars; and (3) general election, one million seven hundred thousand dollars.
- (c) The qualified candidate committee of a petitioning party candidate for the office of Governor shall be eligible to receive a grant for each portion of the campaign for such office as follows: (1)
  Petitioning for ballot access, eight hundred thousand dollars; and (2) general election, one million four hundred fifty thousand dollars.
  - (d) Not later than January 15, 2011, and annually thereafter, the commission shall compute an increase in the monetary amount that is required to be included in the calculations under subsection (a) to (c), inclusive, of this section. The percentage of such increase shall equal the percentage increase in the average of the bulk mail rates of the United States Postal Service during the preceding calendar year.
  - Sec. 11. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) The qualified candidate committee of a major party or minor party candidate for the office of Attorney General, State Comptroller, State Treasurer or Secretary of the State, who does not have a primary for nomination to such office, shall be eligible to receive a grant for each portion of the campaign for such office as follows: (1) Selection and support of delegates to a convention or circulation of petitions pursuant to sections 1 to 3, inclusive of public act 03-241, ten thousand dollars; and (2) general election, two hundred seventy thousand dollars.
  - (b) The qualified candidate committee of a major party or minor party candidate for the office of Attorney General, State Comptroller, State Treasurer or Secretary of the State, who has a primary for nomination to such office, shall be eligible to receive a grant for each portion of the campaign for such office as follows: (1) Selection and support of delegates to a convention or circulation of petitions pursuant to sections 1 to 3, inclusive of public act 03-241, ten thousand

- dollars; (2) primary for nomination, one hundred thirty thousand dollars; and (3) general election, one hundred sixty-five thousand dollars.
- (c) The qualified candidate committee of a petitioning party candidate for the office of Attorney General, State Comptroller, State Treasurer or Secretary of the State shall be eligible to receive a grant for each portion of the campaign for such office as follows: (1) Petitioning for ballot access, one hundred twenty-five thousand dollars; and (2) general election, one hundred sixty-five thousand dollars.
  - (d) The qualified candidate committee of a candidate for the office of Lieutenant Governor shall be eligible to receive grants from the Citizens' Election Fund for the selection and support of delegates to a convention or circulation of petitions pursuant to sections 1 to 3, inclusive of public act 03-241, primary for nomination and petitioning for ballot access, in the same amounts as the grants for such campaigns for qualified candidate committees of candidates for the offices of Attorney General, State Comptroller, State Treasurer and Secretary of the State. The qualified candidate committee of a candidate for the office of Lieutenant Governor shall not receive a grant for the general election campaign.
  - (e) Not later than January 15, 2011, and annually thereafter, the commission shall compute an increase in the monetary amount that is required to be included in the calculations under subsections (a) to (d), inclusive, of this section. The percentage of such increase shall equal the percentage increase in the average of the bulk mail rates of the United States Postal Service during the preceding calendar year.
- Sec. 12. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) The qualified candidate committee of a major party or minor party candidate for the office of state senator, who does not have a primary for nomination to such office, shall be eligible to receive a grant for each portion of the campaign for such office as follows: (1) Selection and support of delegates to a convention or

- circulation of petitions pursuant to sections 1 to 3, inclusive of public act 03-241, five thousand dollars; and (2) general election, eighty thousand dollars.
- (b) The qualified candidate committee of a major party or minor party candidate for the office of state senator, who has a primary for nomination to such office, shall be eligible to receive a grant for each portion of the campaign for such office as follows: (1) Selection and support of delegates to a convention or circulation of petitions pursuant to sections 1 to 3, inclusive of public act 03-241, five thousand dollars; (2) primary for nomination, thirty-five thousand dollars; and (3) general election, sixty thousand dollars.
  - (c) The qualified candidate committee of a petitioning party candidate for the office of state senator shall be eligible to receive a grant for each portion of the campaign for such office as follows: (1) Petitioning for ballot access, forty thousand dollars; and (2) general election, sixty thousand dollars.
    - (d) Not later than January 15, 2011, and annually thereafter, the commission shall compute an increase in the monetary amount that is required to be included in the calculations under subsection (a) to (c), inclusive, of this section. The percentage of such increase shall equal the percentage increase in the average of the bulk mail rates of the United States Postal Service during the preceding calendar year.
    - Sec. 13. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) The qualified candidate committee of a major party or minor party candidate for the office of state representative, who does not have a primary for nomination to such office, shall be eligible to receive a grant for each portion of the campaign for such office as follows: (1) Selection and support of delegates to a convention or circulation of petitions pursuant to sections 1 to 3, inclusive of public act 03-241, two thousand five hundred dollars; and (2) general election, twenty thousand dollars.

- (b) The qualified candidate committee of a major party or minor party candidate for the office of state senator, who has a primary for nomination to such office, shall be eligible to receive a grant for each portion of the campaign for such office as follows: (1) Selection and support of delegates to a convention or circulation of petitions pursuant to sections 1 to 3, inclusive of public act 03-241, two thousand five hundred dollars; (2) primary for nomination, seven thousand five dollars; and (3) general election, fifteen thousand dollars.
- (c) The qualified candidate committee of a petitioning party candidate for the office of state senator shall be eligible to receive a grant for each portion of the campaign for such office as follows: (1) Petitioning for ballot access, ten thousand dollars; and (2) general election, twenty thousand dollars.
- (d) Not later than January 15, 2011, and annually thereafter, the commission shall compute an increase in the monetary amount that is required to be included in the calculations under subsection (a) to (c), inclusive, of this section. The percentage of such increase shall equal the percentage increase in the average of the bulk mail rates of the United States Postal Service during the preceding calendar year.
- Sec. 14. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) A candidate whose candidate committee has not received moneys from the Citizens' Election Fund may apply to the State Elections Enforcement Commission for moneys from the fund for one of the following campaigns, during the applicable period: (1) A campaign for the selection and support of delegates to a convention or for the circulation of petitions pursuant to sections 1 to 3, inclusive, of public act 03-241, after January first in the year in which the election is being held for the office that the candidate is seeking; (2) a petitioning campaign for ballot access, after January first in the year in which the election is being held for the office that the candidate is seeking; (3) a primary campaign, after the close of the state convention of the candidate's party that is called for the purpose of choosing candidates

for nomination for the office that the candidate is seeking, if said party endorses the candidate for the office that the candidate is seeking, the candidate receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll-call vote taken on the endorsement or proposed endorsement of a candidate for the office the candidate is seeking, or the candidate circulates a petition and obtains the required number of signatures pursuant to section 9-400 of the general statutes, as amended, for such office; or (4) a general election campaign, (A) after the close of the state convention of the candidate's party that is called for the purpose of choosing candidates for nomination for the office that the candidate is seeking, if (i) said party endorses said candidate for the office that the candidate is seeking and no other candidate of said party files a certificate of candidacy with the Secretary of the State in accordance with the provisions of section 9-400 of the general statutes, (ii) the candidate receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll-call vote taken on the endorsement or proposed endorsement of a candidate for the office the candidate is seeking and no other candidate for such office at such convention either receives the party endorsement or said percentage of said votes for said endorsement or files a certificate of endorsement with the Secretary of the State in accordance with the provisions of section 9-388 of the general statutes or a certificate of candidacy with the Secretary of the State in accordance with the provisions of section 9-400 of the general statutes, or (iii) the candidate circulates a petition and obtains the required number of signatures pursuant to section 9-400 of the general statutes, as amended, for such office and no other candidate for such office at such convention either receives the party endorsement or said percentage of said votes for said endorsement or files a certificate of endorsement with the Secretary of the State in accordance with the provisions of section 9-388 of the general statutes or a certificate of candidacy with the Secretary of the State in accordance with the provisions of section 9-400 of the general statutes, (B) after any primary held by such party for nomination for such office,

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- nominee in accordance with the provisions of section 9-440 of the
- 622 general statutes, or (C) in the case of a petitioning party candidate,
- 623 after approval by the Secretary of the State of such candidate's
- 624 nominating petition pursuant to subsection (c) of section 9-4530 of the
- 625 general statutes.
- (b) The application shall include a written certification that:
- (1) The candidate committee has received the required amount of qualifying contributions;
- 629 (2) The candidate committee has repaid all moneys borrowed on 630 behalf of the campaign, as required by subsection (b) of section 18 of
- 631 this act;
- 632 (3) The candidate committee has returned the portion of any
- 633 contribution or contributions from an individual that exceeds one
- 634 hundred dollars;
- 635 (4) The candidate committee has returned all contributions which
- make the committee's aggregate amount of contributions received total
- more than the amount of qualifying contributions;
- (5) The candidate committee has returned any contribution received
- 639 from (A) a lobbyist or the spouse of a lobbyist, (B) an individual who
- has a contract with the state, said individual's spouse, or an individual
- 641 whose associated business or spouse's associated business has a
- contract with the state, or (C) a political committee;
- 643 (6) The candidate committee has returned any contribution from an
- 644 individual who (A) does not include the individual's name and
- address with the contribution, or (B) does not reside in the state, if said
- contribution is in excess of the applicable limit on contributions from
- nonresidents in subsection (a) of section 9 of this act;
- 648 (7) The candidate's exploratory committee, if any, has returned all

- contributions that do not meet the criteria for qualifying contributions to a candidate committee as described in section 9 of this act;
- (8) The candidate committee shall refuse to accept any additional contributions, except for contributions from party committees in accordance with the provisions of section 9-333s of the general statutes, as amended by this act;
- 655 (9) The campaign treasurer of the candidate committee shall comply 656 with the provisions of sections 1 to 4, inclusive, 6 to 24, inclusive, and 657 38 and 39 of this act;
- (10) All moneys received from the fund shall be deposited upon receipt into the depository account of the candidate committee;
- 660 (11) The campaign treasurer of the candidate committee shall 661 expend all moneys received from the fund in accordance with the 662 provisions of subsection (g) of section 9-333i of the general statutes;
  - (12) All individuals making qualifying contributions to the candidate committee of the candidate have made the certifications required in subsection (b) of section 9 of this act and the candidate has no knowledge that any such certification is false;
  - (13) The campaign treasurer of the candidate committee of the candidate has, and will continue to, file in electronic form all financial disclosure statements required by section 9-333j of the general statutes. The form of such electronic filing shall comply with the provisions of section 9-348ee of the general statutes;
  - (14) If the candidate withdraws from the campaign, becomes ineligible or dies during the campaign, the candidate committee of the candidate shall return to the commission, for deposit in the fund, all moneys received from the fund pursuant to sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act which said candidate committee has not spent as of the date of such occurrence; and

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(15) In the case of a candidate for the office of Lieutenant Governor, that such candidate is not deemed to be aiding or promoting the success of the campaign for Lieutenant Governor and the success of a candidate for nomination or election to the office of Governor jointly as described in subsection (a) of section 17 of this act.

(c) The application shall be accompanied by a cumulative itemized accounting of all funds received, expenditures made and expenses incurred but not yet paid by the candidate committee as of three days before the date that the application is signed. Such accounting shall be sworn to under penalty of false statement by the campaign treasurer of the candidate committee. The commission shall prescribe the form of the application and the cumulative itemized accounting, after consulting with the Secretary of the State. The form for such accounting shall conform to the requirements of section 9-333j of the general statutes. Both the candidate and the campaign treasurer of the candidate committee shall sign the application. The application shall also be accompanied by a bond, with surety, in the amount which the applicant candidate is eligible to receive initially from the fund. The commission shall adopt regulations, in accordance with the provisions of chapter 54 of the general statutes, implementing such requirement of a bond.

(d) Not later than five business days following receipt of any such application, the commission shall review the application, determine whether the candidate committee for the applicant (1) has received the required qualifying contributions, and (2) in the case of an application for moneys from the fund for a primary or general election campaign, the applicant has met the applicable condition under subsection (a) of this section for applying for such moneys and, if so, determine the amount of moneys payable to the candidate committee from the fund and notify the State Comptroller and the candidate of such candidate committee, of such amount. Not later than three business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of such amount to

711 the qualified candidate committee from the fund.

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Sec. 15. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) Following the initial deposit of moneys from the fund into the depository account of a qualified candidate committee, no contribution, loan, amount of the candidate's own moneys or any other moneys received by the candidate or the campaign treasurer on behalf of the committee shall be deposited into said depository account, except (1) grants from the fund, (2) contributions from party committees in accordance with the provisions of section 9-333s of the general statutes, as amended by this act, and (3) any additional moneys from the fund as provided in sections 19 and 20 of this act.

(b) A qualified candidate committee for a candidate for nomination or election to a state office, which receives moneys from the fund, shall not make expenditures or incur expenses in excess of the applicable permitted expenditure amount.

Sec. 16. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) A qualified candidate committee that received moneys from the Citizens' Elections Fund for the selection and support of delegates to a convention and whose candidate is endorsed for nomination to the office that the candidate is seeking at the party's convention shall receive moneys from the fund for a primary campaign if one or more other candidates for such nomination (1) receive at least fifteen per cent of the votes of the convention delegates present and voting on any roll call vote taken on the endorsement or proposed endorsement of a candidate for said office or (2) circulate petitions pursuant to sections 1 to 3, inclusive, of public act 03-241 and obtain the required number of signatures for said office pursuant to section 9-400 of the general statutes, as amended. Upon the close of the convention and determining that such conditions have been met, the State Elections Enforcement Commission shall notify the State Comptroller of the amount due said candidate. Not later than three business days following notification by the commission, the State

Comptroller shall draw an order on the State Treasurer for payment of a primary campaign grant to the qualified candidate committee from the fund. If no primary is held for such nomination, any unspent moneys from such primary campaign grant shall be returned to the commission and deposited in the fund or used by the candidate committee to reduce the amount of the general election campaign grant.

(b) A qualified candidate committee that received moneys from the Citizens' Elections Fund for the selection and support of delegates to a convention and whose candidate receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll call vote taken on the endorsement or proposed endorsement of a candidate for said office shall receive moneys from the fund for a primary campaign if (1) another candidate is endorsed for nomination to the office that the candidate is seeking at the party's convention, or (2) one or more other candidates for such nomination (A) receive at least fifteen per cent of the votes of the convention delegates present and voting on any roll call vote taken on the endorsement or proposed endorsement of a candidate for said office, or (B) circulate petitions pursuant to sections 1 to 3, inclusive, of public act 03-241 and obtain the required number of signatures for said office pursuant to section 9-400 of the general statutes, as amended. Upon the close of the convention and determining that such conditions have been met, the State Elections Enforcement Commission shall notify the State Comptroller of the amount due said candidate. Not later than three business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of a primary campaign grant to the qualified candidate committee from the fund. If no primary is held for such nomination, any unspent moneys from such primary campaign grant shall be returned to the commission and deposited in the fund or used by the candidate committee to reduce the amount of the general election campaign grant.

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(c) A qualified candidate committee that received moneys from the Citizens' Elections Fund for the circulation of petitions pursuant to section 1 to 3, inclusive, of public act 03-241 and whose candidate obtains the required number of signatures for said office pursuant to section 9-400 of the general statutes, as amended, shall receive moneys from the fund for a primary campaign if (1) another candidate is endorsed for nomination to the office that the candidate is seeking at the party's convention, or (2) one or more other candidates for such nomination (A) receive at least fifteen per cent of the votes of the convention delegates present and voting on any roll call vote taken on the endorsement or proposed endorsement of a candidate for said office, or (B) circulate petitions pursuant to sections 1 to 3, inclusive, of public act 03-241 and obtain the required number of signatures pursuant to section 9-400 of the general statutes, as amended. Upon the close of the convention and determining that such conditions have been met, the State Elections Enforcement Commission shall notify the State Comptroller of the amount due said candidate. Not later than three business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of a primary campaign grant to the qualified candidate committee from the fund. If no primary is held for such nomination, any unspent moneys from such primary campaign grant shall be returned to the commission and deposited in the fund or used by the candidate committee to reduce the amount of the general election campaign grant.

(d) If a scheduled primary is cancelled pursuant to section 9-429 of the general statutes, a qualified candidate committee which received moneys from the fund for a primary and whose candidate is deemed to have been lawfully nominated pursuant to said section 9-429 shall receive moneys from the fund for a general election campaign. Upon receiving verification from the Secretary of the State that a scheduled primary has not been held and that the candidate of a qualified candidate committee has been deemed to have been lawfully nominated in accordance with the provisions of said section 9-429, the

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commission shall notify the State Comptroller of the amount payable to said qualified candidate committee and the State Comptroller shall draw an order on the State Treasurer for payment of the general election campaign grant to said committee from the fund, provided the amount of such general election grant shall be reduced by the amount of the primary campaign grant which said candidate committee has not spent as of the date of cancellation of the primary.

(e) A qualified candidate committee that received moneys from the Citizens' Elections Fund for the selection and support of delegates to a convention shall receive moneys from the fund for a general election campaign if the candidate who established such committee (1) is endorsed for nomination to the office that the candidate is seeking at the party's state convention and no other candidate (A) receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll call vote taken on the endorsement or proposed endorsement of a candidate for said office, or (B) circulates petitions pursuant to sections 1 to 3, inclusive, of public act 03-241 and obtains the required number of signatures for said office pursuant to section 9-400 of the general statutes, as amended, (2) receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll call vote taken on the endorsement or proposed endorsement of a candidate for said office and no other candidate is (A) endorsed for nomination to the office that the candidate is seeking at the party's state convention, (B) receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll call vote taken on the endorsement or proposed endorsement of a candidate for said office, or (C) circulates petitions pursuant to sections 1 to 3, inclusive, of public act 03-241 and obtains the required number of signatures for said office pursuant to section 9-400 of the general statutes, as amended, or (3) circulates petitions pursuant to sections 1 to 3, inclusive, of public act 03-241 and obtains the required number of signatures for said office pursuant to section 9-400 of the general statutes, as amended, and no other candidate is (A) endorsed for nomination to the office that the candidate is seeking at the party's

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convention, (B)receives at least fifteen per cent of the votes of the convention delegates present and voting on any roll call vote taken on the endorsement or proposed endorsement of a candidate for said office, or (C) circulates petitions pursuant to sections 1 to 3, inclusive, of public act 03-241 and obtains the required number of signatures for said office pursuant to section 9-400 of the general statutes, as amended. Upon the close of the convention and determining that such conditions have been met, the State Elections Enforcement Commission shall notify the State Comptroller of the amount due said candidate. Not later than three business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of a general election campaign grant to the qualified candidate committee from the fund.

- (f) A qualified candidate committee that received moneys from the fund for a primary campaign and whose candidate is the party nominee shall receive moneys from the fund for a general election campaign. Upon receiving verification from the Secretary of the State of the declaration by the Secretary of the State in accordance with the provisions of section 9-440 of the general statutes, of the results of the votes cast at the primary, the commission shall notify the State Comptroller of the amount payable to such qualified candidate committee. Not later than three business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of the general election campaign grant to said committee from said fund.
- (g) A qualified candidate committee that received moneys from the fund for a petition campaign for ballot access and whose candidate's nominating petition has been approved by the Secretary of the State pursuant to subsection (c) of section 9-4530 of the general statutes, as amended, shall receive moneys from the fund for a general election campaign. Upon receiving notification from the Secretary of the State of such approval, the commission shall notify the State Comptroller of the amount payable to such qualified candidate committee. Not later

- than three business days following notification by the commission, the State Comptroller shall draw an order on the State Treasurer for payment of the general election campaign grant to said committee from said fund.
  - (h) Not later than twenty-four hours after any event under this section that entitles a candidate to receive moneys from the fund for a primary campaign or a general election campaign, the Secretary of the State shall notify the commission of such event.
  - Sec. 17. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) For purposes of this section, expenditures made for purposes of the permitted expenditure amount to aid or promote the success of both a candidate for nomination or election to the office of Governor and a candidate for nomination or election to the office of Lieutenant Governor jointly, shall be considered expenditures made to aid or promote the success of a candidate for nomination or election to the office of Governor. The party-endorsed candidate for nomination or election to the office of Lieutenant Governor and the party-endorsed candidate for nomination or election to the office of Governor shall be deemed to be aiding or promoting the success of both candidates jointly upon the earliest of the following: (1) The primary, whether held for the office of Governor, the office of Lieutenant Governor, or both; (2) if no primary is held for the office of Governor or Lieutenant Governor, the convention; or (3) a declaration by the party-endorsed candidates that they shall campaign jointly. Any other candidate for nomination or election to the office of Lieutenant Governor shall be deemed to be aiding or promoting the success of such candidacy for the office of Lieutenant Governor and the success of a candidate for nomination or election to the office of Governor jointly upon a declaration by the candidates that they shall campaign jointly.
  - (b) The candidate committee formed to aid or promote the success of a candidate for nomination or election to the office of Lieutenant Governor, the candidate of which campaigns jointly with a candidate

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for nomination or election to the office of Governor, shall be dissolved as of the applicable date set forth in subsection (a) of this section. Not later than fifteen days after the applicable date set forth in subsection (a) of this section, the campaign treasurer of the candidate committee formed to aid or promote the success of said candidate for nomination or election to the office of Lieutenant Governor shall file a statement with the proper authority under section 9-333e of the general statutes, as amended by this act, identifying all contributions received or expenditures made by the committee since the previous statement and the balance on hand or deficit, as the case may be. Not later than thirty days after the applicable date set forth in subsection (a) of this section, (1) the campaign treasurer of a qualified candidate committee formed to aid or promote the success of said candidate for nomination or election to the office of Lieutenant Governor shall distribute any surplus to the fund, and (2) the campaign treasurer of a nonqualified candidate committee formed to aid or promote the success of said candidate for nomination or election to the office of Lieutenant Governor shall return such surplus to all contributors on a prorated basis of contribution or distribute such surplus to any charitable organization which is a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as from time to time amended.

Sec. 18. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) A qualified candidate committee may borrow moneys on behalf of a campaign for the selection and support of delegates to a convention, a primary or a general election from one or more financial institutions, as defined in section 36a-41 of the general statutes, in an aggregate amount not to exceed one thousand dollars. The amount borrowed shall not constitute a qualifying contribution. No individual, political committee or party committee, except the candidate or, in a general election, the state central committee of a political party, shall endorse or guarantee such a loan in an aggregate amount in excess of two hundred fifty dollars. An endorsement or

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guarantee of such a loan shall constitute a contribution by such individual or committee for so long as the loan is outstanding. The amount endorsed or guaranteed by such individual or committee shall cease to constitute a contribution upon repayment of the amount endorsed or guaranteed.

- (b) All such loans shall be repaid in full prior to the date a candidate committee applies for the moneys from the fund pursuant to section 14 of this act. The candidate shall certify to the commission that such loans were repaid. A candidate who fails to repay such loans or fails to certify such repayment to the commission shall not be eligible to receive and shall not receive moneys from the fund.
- Sec. 19. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) (1) A qualified candidate committee that receives moneys from the fund pursuant to section 14 of this act and makes expenditures in excess of the permitted expenditure amount (A) shall repay to the fund the amount of expenditures in excess of the applicable permitted expenditure amount, and (B) shall not receive any additional moneys from the fund for the remainder of the election cycle.
- (2) In addition, a candidate of a qualified candidate committee that receives moneys from the fund pursuant to section 14 of this act and makes expenditures that, with the intent of said candidate, exceed the applicable permitted expenditure amount by more than one per cent shall (A) be liable to the fund for the amount of such excess expenditures, and (B) be guilty of a class D felony.
- (b) Additional moneys from the fund shall be paid to a qualified candidate committee that received moneys from the fund if the committee of an opposing candidate makes expenditures in excess of the applicable permitted expenditure amount. Such additional moneys from the fund shall be paid to a qualified candidate committee that received moneys from the fund (1) regardless of whether the candidate committee which makes expenditures in excess of the applicable

permitted expenditure amount has received moneys from the fund, (2) in an amount equal to the greatest amount of expenditures in excess of the applicable permitted expenditure amount which the committee of an opposing candidate has made expenditures, but not more than one hundred per cent of the amount of moneys which the qualified candidate committee has received from the fund, and (3) immediately following the commission's verification that the committee of an opposing candidate has made expenditures in excess of the applicable permitted expenditure amount. In the case of the candidate committee of a nonparticipating candidate making such excess expenditures, additional moneys shall not be paid to a qualified candidate committee under this subsection until the general election campaign. No qualified candidate committee which expends moneys in excess of the permitted expenditure amount shall receive additional moneys from the fund pursuant to this subsection.

(c) If a nonparticipating candidate makes or incurs the obligation to make an excess expenditure more than twenty days before the day of a convention, primary or election, the candidate shall file a declaration of excess expenditures not later than forty-eight hours after making or incurring the expenditure. If a nonparticipating candidate makes or incurs the obligation to make an excess expenditure twenty days or less before the day of a convention, primary or election, the candidate shall file a declaration of excess expenditures not later than twenty-four hours after making or incurring the expenditure. The commission may determine whether any expenditure by a nonparticipating candidate shall be deemed an excess expenditure.

Sec. 20. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) Any person who makes or obligates to make an independent expenditure, as defined in section 9-333a of the general statutes, as amended, intended to promote the success or defeat of a candidate for nomination or election to a state office, which exceeds five hundred dollars, in the aggregate, during the period for the selection and support of delegates to a convention, a primary

campaign period or an election campaign period, shall file a report of such independent expenditure to the State Elections Enforcement Commission. If the person makes or obligates to make such independent expenditure more than twenty days before the day of a convention, primary or election, the person shall file such report not later than forty-eight hours after such payment or obligation. If the person makes or obligates to make such independent expenditure twenty days or less before the day of a convention, primary or election, the person shall file such report not later than twenty-four hours after such payment or obligation. The report shall be filed under penalty of false statement.

- (b) The independent expenditure report shall include a statement (1) identifying the candidate for whom the independent expenditure is intended to promote the success or defeat, (2) affirming that the expenditure is totally independent and involves no cooperation or coordination with or direction from a candidate or a political party, and (3) affirming that the individual making the expenditure has not served or does not serve as treasurer, deputy treasurer or chairperson of the candidate committee during the same election cycle.
- (c) Any person may file a complaint with the commission upon the belief that (1) any such independent expenditure report or statement is false, or (2) any person who is required to file an independent expenditure report under subsection (a) of this section has failed to do so. The commission shall make a prompt determination on such a complaint.
- (d) Upon the receipt of a report that such an independent expenditure has been made or obligated to be made, the commission shall immediately notify the State Comptroller that additional money, equal to the amount of the independent expenditure, shall be paid to the qualifying candidate committees of each participating candidate whom the independent expenditure is intended to oppose or defeat. Not later than three business days following notification by the

- 1040 commission, the State Comptroller shall draw an order on the State 1041 Treasurer for payment of such amount to each such qualified 1042 candidate committee from the fund. The provisions of this subsection 1043 shall be subject to the following:
- (1) The maximum aggregate amount of funding that the qualified candidate committee of a participating candidate shall receive to match the independent expenditures made or obligated to be made on behalf of an opposing participating candidate shall not be greater than one hundred per cent of the total moneys that said candidate committee has received from the fund.
  - (2) The maximum aggregate amount of funding that the qualified candidate committee of a participating candidate shall receive to match the independent expenditures and the excess expenditures of a nonparticipating candidate shall not be greater than two hundred per cent of the total moneys that said candidate committee has received from the fund.
  - (3) Such additional funding shall be granted to the qualified candidate committee of a participating candidate opposed by a nonparticipating candidate only if the nonparticipating candidate's campaign expenditures, combined with the amount of the independent expenditures, exceed the applicable permitted expenditure amount for the participating candidate, during the general election campaign.
  - Sec. 21. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) On the second Tuesday in July in any year in which a state office election is held, and on each subsequent Tuesday until and including the fourth Tuesday in October in such year, the campaign treasurer of each candidate committee organized to aid or promote the success of a candidate for nomination or election to a state office at such election shall file with the Secretary of the State and the commission a statement, sworn under penalty of false statement, of itemized receipts and expenditures for the preceding seven calendar

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days. If a campaign treasurer fails to file any statement required by this section (1) within the time required, or (2) with both the Secretary of the State and the commission, such campaign treasurer shall be subject to a civil penalty imposed by the commission, of not more than one thousand dollars for each such failure under subdivision (1) or (2) of this section.

Sec. 22. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) The Secretary of the State shall provide in electronic format, free of charge, to each committee that receives moneys from the Citizens' Elections Fund pursuant to section 14 of this act, a copy of the voter registration list for the state or district, whichever is applicable, which is generated from the state-wide centralized voter registration system established pursuant to the plan authorized under section 1 of special act 91-45 and completed pursuant to public act 03-117.

Sec. 23. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) Not later than March first in the year before any year in which a state office election is to be held, the commission shall determine whether the amount of moneys in the fund is sufficient to carry out the purposes of sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act, based on the information available to the commission at such time. If the commission determines at such time that the amount of moneys in the fund is not sufficient to carry out such purposes, the commission shall immediately issue a report. The General Assembly may authorize alternative sources of funding sufficient to carry out the purposes of sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act.

(b) Not later than January first in any year in which a state office election is to be held, the commission shall determine whether the amount of moneys in the fund is sufficient to carry out the purposes of sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act. If the commission determines that such amount is not sufficient to carry

out such purposes, the commission shall, not later than three days after such later determination, (1) determine the percentage of the fund's obligations that can be met for such election, (2) recalculate the amount of each payment that a qualified candidate committee is entitled to receive under sections 10, 11, 12 or 13 of this act by multiplying such percentage by the amount that the committee would have been entitled to receive under said section if there were a sufficient amount of moneys in the fund, and (3) notify each applicant for moneys from the fund of such insufficiency, percentage and applicable recalculation. After a qualified candidate committee first receives any such recalculated payment, the committee may resume contributions and making expenditures from such contributions, provided no qualified candidate committee which receives such recalculated payments from the fund shall accept contributions in excess of the amount of moneys which the committee was entitled to receive from the fund but did not receive from the fund. The commission shall also issue a report on said determination. The General Assembly may authorize alternative sources of funding sufficient to carry out the purposes of sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act. If the commission issues such determination at a time when the General Assembly is not in session, the commission shall notify the president pro tempore of the Senate and the speaker of the House of Representatives who may call a special session of the General Assembly, in accordance with section 2-7 of the general statutes, to consider authorizing such alternative sources of funding.

(c) The commission shall establish a reserve account in the fund. The first twenty-five thousand dollars deposited in the fund during any year shall be placed in said account. The commission shall use moneys in the reserve account only during the seven days preceding an election for payments to candidates (1) whose payments were reduced under subsection (b) of this section, or (2) who are entitled to funding to match independent expenditures pursuant to section 20 of this act during said seven-day period.

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- 1138 Sec. 24. (NEW) (Effective July 1, 2004, and applicable to elections held in 1139 2010, and thereafter) A candidate of a candidate committee which 1140 receives moneys from the Citizens' Elections Fund may expend 1141 personal moneys in an aggregate amount not exceeding one thousand 1142 dollars to aid or promote the success of such candidate's campaign for 1143 nomination or election to a state office. Any such expenditure shall be 1144 made and reported in accordance with the provisions of sections 9-333i 1145 and 9-333j of the general statutes and shall be considered a qualifying 1146 contribution for the purposes of section 9 of this act.
- Sec. 25. Section 9-333a of the general statutes, as amended by section 10 of public act 03-241, is repealed and the following is substituted in lieu thereof (*Effective January 1, 2007, and applicable to elections held in* 2010, and thereafter):
- 1151 As used in this chapter <u>and sections 1 to 4, inclusive, 6 to 24,</u>
  1152 <u>inclusive, and 38 and 39 of this act</u>:
  - (1) "Committee" means a party committee, political committee or a candidate committee organized, as the case may be, for a single primary, election or referendum, or for ongoing political activities, to aid or promote the success or defeat of any political party, any one or more candidates for public office or the position of town committee member or any referendum question.
  - (2) "Party committee" means a state central committee or a town committee. "Party committee" does not mean a party-affiliated or district, ward or borough committee which receives all of its funds from the state central committee of its party or from a single town committee with the same party affiliation. Any such committee so funded shall be construed to be a part of its state central or town committee for purposes of this chapter and sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act.
- 1167 (3) "Political committee" means (A) a committee organized by a 1168 business entity or organization, (B) persons other than individuals, or

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1169 two or more individuals organized or acting jointly conducting their activities in or outside the state, (C) a committee established by a 1170 1171 candidate to determine the particular public office to which [he] such 1172 candidate shall seek nomination or election, and referred to in this 1173 chapter as an exploratory committee, or (D) a committee established by 1174 or on behalf of a slate of candidates in a primary for the office of justice 1175 of the peace, but does not mean a candidate committee or a party 1176 committee.

- (4) "Candidate committee" means any committee designated by a single candidate, or established with the consent, authorization or cooperation of a candidate, for the purpose of a single primary or election and to aid or promote [his] such candidate's candidacy alone for a particular public office or the position of town committee member, but does not mean a political committee or a party committee.
- (5) "National committee" means the organization which according to the bylaws of a political party is responsible for the day-to-day operation of the party at the national level.
- (6) "Organization" means all labor organizations, (A) as defined in the Labor-Management Reporting and Disclosure Act of 1959, as from time to time amended, or (B) as defined in subdivision (9) of section 31-101, employee organizations as defined in subsection (d) of section 5-270 and subdivision (6) of section 7-467, bargaining representative organizations for teachers, any local, state or national organization, to which a labor organization pays membership or per capita fees, based upon its affiliation or membership, and trade or professional associations which receive their funds exclusively from membership dues, whether organized in or outside of this state, but does not mean a candidate committee, party committee or a political committee.
- (7) "Business entity" means the following, whether organized in or outside of this state: Stock corporations, banks, insurance companies, business associations, bankers associations, insurance associations,

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1201 trade or professional associations which receive funds from 1202 membership dues and other sources, partnerships, joint ventures, 1203 private foundations, as defined in Section 509 of the Internal Revenue 1204 Code of 1986, or any subsequent corresponding internal revenue code 1205 of the United States, as from time to time amended; trusts or estates; 1206 corporations organized under sections 38a-175 to 38a-192, inclusive, 1207 38a-199 to 38a-209, inclusive, and 38a-214 to 38a-225, inclusive, and 1208 chapters 594 to 597, inclusive; cooperatives, and any other association, 1209 organization or entity which is engaged in the operation of a business 1210 or profit-making activity; but does not include professional service 1211 corporations organized under chapter 594a and owned by a single 1212 individual, nonstock corporations which are not engaged in business 1213 or profit-making activity, organizations, as defined in subdivision (6) 1214 of this section, candidate committees, party committees and political 1215 committees as defined in this section. For purposes of this chapter, 1216 corporations which are component members of a controlled group of 1217 corporations, as those terms are defined in Section 1563 of the Internal 1218 Revenue Code of 1986, or any subsequent corresponding internal 1219 revenue code of the United States, as from time to time amended, shall 1220 be deemed to be one corporation.

- (8) "Individual" means a human being, a sole proprietorship, or a professional service corporation organized under chapter 594a and owned by a single human being.
- (9) "Person" means an individual, committee, firm, partnership, organization, association, syndicate, company trust, corporation, limited liability company or any other legal entity of any kind but does not mean the state or any political or administrative subdivision of the state.
- (10) "Candidate" means an individual who seeks nomination for election or election to public office whether or not such individual is elected, and for the purposes of this chapter <u>and sections 1 to 4,</u> inclusive, 6 to 24, inclusive, and 38 and 39 of this act an individual

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- shall be deemed to seek nomination for election or election if [he] <u>such</u>
- 1234 <u>individual</u> has (A) been endorsed by a party or become eligible for a
- position on the ballot at an election or primary, or (B) solicited or
- 1236 received contributions, made expenditures or given [his] such
- 1237 <u>individual's</u> consent to any other person to solicit or receive
- 1238 contributions or make expenditures with the intent to bring about [his]
- 1239 <u>such individual's</u> nomination for election or election to any such office.
- "Candidate" also means a slate of candidates which is to appear on the
- ballot in a primary for the office of justice of the peace. For the
- purposes of sections 9-333 to 9-333l, inclusive, as amended by this act,
- and section 9-333w, "candidate" also means an individual who is a
- 1244 candidate in a primary for town committee members.
- 1245 (11) "Campaign treasurer" means the individual appointed by a
- candidate or by the [chairman] chairperson of a party committee or a
- 1247 political committee to receive and disburse funds on behalf of the
- 1248 candidate or committee.
- 1249 (12) "Deputy campaign treasurer" means the individual appointed
- by the candidate or by the [chairman] chairperson of a committee to
- 1251 serve in the capacity of the campaign treasurer if the campaign
- treasurer is unable to perform [his] the campaign treasurer's duties.
- 1253 (13) "Solicitor" means an individual appointed by a campaign
- 1254 treasurer of a committee to receive, but not to disburse, funds on
- behalf of the committee.
- 1256 (14) "Referendum question" means a question to be voted upon at
- 1257 any election or referendum, including a proposed constitutional
- 1258 amendment.
- 1259 (15) "Lobbyist" means a lobbyist as defined in subsection (l) of
- 1260 section 1-91.
- 1261 (16) "Business with which he is associated" means any business in
- which the contributor is a director, officer, owner, limited or general

- 1263 partner or holder of stock constituting five per cent or more of the total 1264 outstanding stock of any class. Officer refers only to the president, 1265 executive or senior vice-president or treasurer of such business.
- 1266 (17) "Independent expenditure" means an expenditure that is made 1267 without the consent, knowing participation, or consultation of, a 1268 candidate or agent of the candidate committee. "Independent 1269 expenditure" does not include an expenditure (A) if there is any 1270 coordination or direction with respect to the expenditure between the 1271 candidate or the treasurer, deputy treasurer or [chairman] chairperson 1272 of [his] such candidate committee and the person making the 1273 expenditure, or (B) if, during the same election cycle, the individual 1274 making the expenditure serves or has served as the treasurer, deputy 1275 treasurer or [chairman] chairperson of the candidate committee.
- 1276 (18) "Federal account" means a depository account that is subject to 1277 the disclosure and contribution limits provided under the Federal 1278 Election Campaign Act of 1971, as amended from time to time.
- 1279 (19) "Public funds" means funds belonging to, or under the control 1280 of, the state or a political subdivision of the state.
- 1281 Sec. 26. Section 9-333b of the general statutes is repealed and the 1282 following is substituted in lieu thereof (Effective January 1, 2007, and 1283 applicable to elections held in 2010, and thereafter):
- 1284 (a) As used in this chapter and sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act, "contribution" means: 1285
- 1286 (1) Any gift, subscription, loan, advance, payment or deposit of 1287 money or anything of value, made for the purpose of influencing the 1288 nomination for election, or election, of any person or for the purpose of 1289 aiding or promoting the success or defeat of any referendum question 1290 or on behalf of any political party;
- 1291 (2) A written contract, promise or agreement to make a contribution 1292 for any such purpose;

- 1293 (3) The payment by any person, other than a candidate or campaign 1294 treasurer, of compensation for the personal services of any other 1295 person which are rendered without charge to a committee or candidate 1296 for any such purpose;
- (4) An expenditure when made by a person with the cooperation of, or in consultation with, any candidate, candidate committee or candidate's agent or which is made in concert with, or at the request or suggestion of, any candidate, candidate committee or candidate's agent; or
- 1302 (5) Funds received by a committee which are transferred from another committee or other source for any such purpose.
- 1304 (b) As used in this chapter <u>and sections 1 to 4, inclusive, 6 to 24,</u> 1305 inclusive, and 38 and 39 of this act, "contribution" does not mean:
- 1306 (1) A loan of money made in the ordinary course of business by a 1307 national or state bank;
- 1308 (2) Any communication made by a corporation, organization or 1309 association to its members, owners, stockholders, executive or 1310 administrative personnel, or their families;
- 1311 (3) Nonpartisan voter registration and get-out-the-vote campaigns 1312 by any corporation, organization or association aimed at its members, 1313 owners, stockholders, executive or administrative personnel, or their 1314 families;
- 1315 (4) Uncompensated services provided by individuals volunteering their time;
- 1317 (5) The use of real or personal property, and the cost of invitations, 1318 food or beverages, voluntarily provided by an individual to a 1319 candidate or on behalf of a state central or town committee, in 1320 rendering voluntary personal services for candidate or party-related 1321 activities at the individual's residence, to the extent that the cumulative

- 1322 value of the invitations, food or beverages provided by the individual 1323 on behalf of any single candidate does not exceed two hundred dollars 1324 with respect to any single election, and on behalf of all state central 1325 and town committees does not exceed four hundred dollars in any 1326 calendar year;
- 1327 (6) The sale of food or beverage for use in a candidate's campaign or for use by a state central or town committee at a discount, if the charge 1328 1329 is not less than the cost to the vendor, to the extent that the cumulative 1330 value of the discount given to or on behalf of any single candidate does 1331 not exceed two hundred dollars with respect to any single election, 1332 and on behalf of all state central and town committees does not exceed 1333 four hundred dollars in a calendar year;
  - (7) Any unreimbursed payment for travel expenses made by an individual who on the individual's own behalf volunteers the individual's personal services to any single candidate to the extent the cumulative value does not exceed two hundred dollars with respect to any single election, and on behalf of all state central or town committees does not exceed four hundred dollars in a calendar year;
  - (8) The payment, by a party committee, political committee or an individual, of the costs of preparation, display, mailing or other distribution incurred by the committee or individual with respect to any printed slate card, sample ballot or other printed list containing the names of three or more candidates;
  - (9) The donation of any item of personal property by an individual to a committee for a fund-raising affair, including a tag sale or auction, or the purchase by an individual of any such item at such an affair, to the extent that the cumulative value donated or purchased does not exceed fifty dollars;
- 1350 (10) The purchase of advertising space which clearly identifies the 1351 purchaser, in a program for a fund-raising affair, provided the 1352 cumulative purchase of such space does not exceed two hundred fifty

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- 1353 dollars from any single candidate or the candidate's committee with 1354 respect to any single election campaign or two hundred fifty dollars 1355 from any single party committee or other political committee in any 1356 calendar year if the purchaser is a business entity or fifty dollars for 1357 purchases by any other person, except that the purchase of advertising 1358 space described in this subdivision shall be deemed to be a 1359 contribution for the purposes of sections 1 to 4, inclusive, 6 to 24, 1360 inclusive, and 38 and 39 of this act;
- 1361 (11) The payment of money by a candidate to the candidate's candidate committee;
- 1363 (12) The donation of goods or services by a business entity to a 1364 committee for a fund-raising affair, including a tag sale or auction, to 1365 the extent that the cumulative value donated does not exceed one 1366 hundred dollars;
- 1367 (13) The advance of a security deposit by an individual to a 1368 telephone company, as defined in section 16-1, for telecommunications 1369 service for a committee, provided the security deposit is refunded to 1370 the individual;
- 1371 (14) The provision of facilities, equipment, technical and managerial 1372 support, and broadcast time by a community antenna television 1373 company, as defined in section 16-1, for community access 1374 programming pursuant to section 16-331a, unless (A) the major 1375 purpose of providing such facilities, equipment, support and time is to 1376 influence the nomination or election of a candidate, or (B) such 1377 facilities, equipment, support and time are provided on behalf of a 1378 political party; or
- 1379 (15) The sale of food or beverage by a town committee to an individual at a town fair, county fair or similar mass gathering held within the state, to the extent that the cumulative payment made by any one individual for such items does not exceed fifty dollars.

Sec. 27. Subsection (a) of section 9-333e of the general statutes, as amended by section 11 of public act 03-241, is repealed and the following is substituted in lieu thereof (*Effective January 1, 2007, and applicable to elections held in 2010, and thereafter*):

- (a) Statements filed by party committees, political committees formed to aid or promote the success or defeat of a referendum question proposing a constitutional convention, constitutional amendment or revision of the Constitution, individual lobbyists, and those political committees and candidate committees formed to aid or promote the success or defeat of any candidate for the office of Governor, Lieutenant Governor, Secretary of the State, State Treasurer, Comptroller, Attorney General, judge of probate and members of the General Assembly, shall be filed with the office of the Secretary of the State. A copy of each statement filed by a candidate committee formed to aid or promote the success of any candidate for the office of Governor, Lieutenant Governor, Secretary of the State, State Treasurer, State Comptroller, Attorney General, state senator or state representative shall be filed at the same time with the commission. A copy of each statement filed by a town committee shall be filed at the same time with the town clerk of the municipality in which the committee is situated. A political committee formed for a slate of candidates in a primary for the office of justice of the peace shall file statements with both the Secretary of the State and the town clerk of the municipality in which the primary is to be held.
- Sec. 28. Subsection (a) of section 9-333m of the general statutes, as amended by section 13 of public act 03-241, is repealed and the following is substituted in lieu thereof (*Effective January 1, 2007, and applicable to elections held in 2010, and thereafter*):
  - (a) No individual shall make a contribution or contributions to, for the benefit of, or pursuant to the authorization or request of, a candidate or a committee supporting or opposing any candidate's campaign for nomination at a primary, or any candidate's campaign

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for election, to the office of (1) Governor, in excess of [two thousand five hundred one thousand dollars; (2) Lieutenant Governor, Secretary of the State, State Treasurer, State Comptroller or Attorney General, in excess of [one thousand five hundred] seven hundred fifty dollars; (3) chief executive officer of a town, city or borough, in excess of one thousand dollars; (4) state senator or probate judge, in excess of five hundred dollars; or (5) state representative or any other office of a municipality not [previously] specifically included in this subsection, in excess of two hundred fifty dollars. [The] Except for contributions to, or for the benefit of, a candidate's campaign for the office of Governor, Lieutenant Governor, Secretary of the State, State Treasurer, State Comptroller, Attorney General, state senator or state representative, the limits imposed by this subsection shall be applied separately to primaries and elections.

Sec. 29. Section 9-333n of the general statutes, as amended by section 14 of public act 03-241, is repealed and the following is substituted in lieu thereof (*Effective January 1, 2007, and applicable to elections held in 2010, and thereafter*):

(a) No individual shall make a contribution or contributions in any one calendar year in excess of five thousand dollars to the state central committee of any party, or for the benefit of such committee pursuant to its authorization or request; or one thousand dollars to a town committee of any political party, or for the benefit of such committee pursuant to its authorization or request; or one thousand dollars to a political committee other than (1) a political committee formed solely to aid or promote the success or defeat of a referendum question, (2) an exploratory committee, (3) a political committee established by an organization, or for the benefit of such committee pursuant to its authorization or request, or (4) a political committee formed by a slate of candidates in a primary for the office of justice of the peace of the same town. No individual who makes a contribution to a party committee may direct such committee to contribute or expend any portion of such contribution to, or for the benefit of, any candidate's

campaign for nomination or election to a state office, as defined in section 1 of this act.

- (b) No individual shall make a contribution to a political committee established by an organization which receives its funds from the organization's treasury. With respect to a political committee established by an organization which has complied with the provisions of subsection (b) or (c) of section 9-333p, and has elected to receive contributions, no individual other than a member of the organization may make contributions to the committee, in which case the individual may contribute not more than five hundred dollars in any one calendar year to such committee or for the benefit of such committee pursuant to its authorization or request.
- (c) In no event may any individual make contributions to a candidate committee and a political committee formed solely to support one candidate other than an exploratory committee or for the benefit of a candidate committee and a political committee formed solely to support one candidate pursuant to the authorization or request of any such committee, in an amount which in the aggregate is in excess of the maximum amount which may be contributed to the candidate.
- (d) Any individual may make unlimited contributions or expenditures to aid or promote the success or defeat of any referendum question, provided any individual who makes an expenditure or expenditures in excess of one thousand dollars to promote the success or defeat of any referendum question shall file statements according to the same schedule and in the same manner as is required of a campaign treasurer of a political committee under section 9-333j.
- (e) Any individual acting alone may, independent of any candidate, agent of the candidate, or committee, make unlimited expenditures to promote the success or defeat of any candidate's campaign for election, or nomination at a primary, to any office or position. [, provided any]

1480 Except for an individual who is subject to the provisions of subsection 1481 (a) of section 20 of this act, any individual who makes an independent 1482 expenditure or expenditures in excess of one thousand dollars to 1483 promote the success or defeat of any candidate's campaign for election, 1484 or nomination at a primary, to any such office or position shall file 1485 statements according to the same schedule and in the same manner as 1486 [is] required of a campaign treasurer of a candidate committee under 1487 section 9-333j.

- (f) (1) As used in this subsection and subsection (f) of section 9-333i, (A) "investment services" means investment legal services, investment banking services, investment advisory services, underwriting services, financial advisory services or brokerage firm services, and (B) "principal of an investment services firm" means (i) an individual who is a director of or has an ownership interest in an investment services firm to which the State Treasurer pays compensation, expenses or fees or issues a contract, except for an individual who owns less than five per cent of the shares of an investment services firm which is a publicly traded corporation, (ii) an individual who is employed by such an investment services firm as president, treasurer, or executive or senior vice president, (iii) an employee of such an investment services firm who has managerial or discretionary responsibilities with respect to any investment services provided to the State Treasurer, (iv) the spouse or a dependent child of an individual described in this subparagraph, or (v) a political committee established by or on behalf of an individual described in this subparagraph.
- (2) No principal of an investment services firm shall make a contribution to, or solicit contributions on behalf of, an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State Treasurer during the term of office of the State Treasurer who pays compensation, expenses or fees or issues a contract to such firm.
- 1511 (3) Neither the State Treasurer, the Deputy State Treasurer, any

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- 1512 unclassified employee of the office of the State Treasurer acting on 1513 behalf of the State Treasurer or Deputy State Treasurer, any candidate 1514 for the office of State Treasurer, any member of the Investment 1515 Advisory Council established under section 3-13b nor any agent of any 1516 such candidate may solicit contributions on behalf of an exploratory 1517 committee or candidate committee established by a candidate for 1518 nomination or election to any public office, a political committee or a 1519 party committee, from a principal of an investment services firm, 1520 except that the prohibition in this subsection shall not apply to an 1521 incumbent State Treasurer who establishes an exploratory committee 1522 or candidate committee for any public office other than State 1523 Treasurer.
  - (4) No member of the Investment Advisory Council appointed under section 3-13b shall make a contribution to, or solicit contributions on behalf of, an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State Treasurer.
  - (5) The provisions of this subsection shall not restrict an individual from establishing an exploratory or candidate committee for the individual's own campaign or from soliciting contributions for such committees from persons not prohibited from making contributions under this subsection.
- 1534 Sec. 30. Subsection (d) of section 9-3330 of the general statutes is 1535 repealed and the following is substituted in lieu thereof (Effective 1536 *January 1, 2007, and applicable to elections held in 2010, and thereafter):*
- 1537 (d) A political committee organized by a business entity shall not 1538 make a contribution or contributions to or for the benefit of any 1539 candidate's campaign for nomination at a primary or any candidate's 1540 campaign for election to the office of: (1) Governor, in excess of [five] 1541 one thousand dollars; (2) Lieutenant Governor, Secretary of the State, 1542 State Treasurer, State Comptroller or Attorney General, in excess of 1543 [three thousand] seven hundred fifty dollars; (3) [state senator,]

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1544 probate judge or chief executive officer of a town, city or borough, in excess of one thousand dollars; (4) state senator, in excess of five 1545 1546 hundred dollars; (5) state representative, in excess of [five hundred] 1547 two hundred fifty dollars; or [(5)] (6) any other office of a municipality 1548 not included in subdivision (3) of this subsection, in excess of two 1549 hundred fifty dollars; or an exploratory committee, in excess of two 1550 hundred fifty dollars. [The] Except for contributions to, or for the 1551 benefit of, a candidate's campaign for the office of Governor, 1552 Lieutenant Governor, Secretary of the State, State Treasurer, State 1553 Comptroller, Attorney General, state senator or state representative, 1554 the limits imposed by this subsection shall apply separately to 1555 primaries and elections and contributions by any such committee to 1556 candidates designated in this subsection shall not exceed one hundred 1557 thousand dollars in the aggregate for any single election and primary preliminary thereto. Contributions to such committees shall also be 1558 1559 subject to the provisions of section 9-333t, as amended by this act, in 1560 the case of committees formed for ongoing political activity or section 1561 9-333u, as amended by this act, in the case of committees formed for a 1562 single election or primary.

Sec. 31. Section 9-333q of the general statutes is repealed and the following is substituted in lieu thereof (Effective January 1, 2007, and applicable to elections held in 2010, and thereafter):

(a) No political committee established by an organization shall make a contribution or contributions to, or for the benefit of, any candidate's campaign for nomination at a primary or for election to the office of: (1) Governor, in excess of [two thousand five hundred] one thousand dollars; (2) Lieutenant Governor, Secretary of the State, State Treasurer, Comptroller or Attorney General, in excess of [one thousand five hundred] seven hundred fifty dollars; (3) chief executive officer of a town, city or borough, in excess of one thousand dollars; (4) state senator or probate judge, in excess of five hundred dollars; or (5) state representative or any other office of a municipality not [previously] specifically included in this subsection, in excess of two

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- 1577 hundred fifty dollars.
- (b) No such committee shall make a contribution or contributions to, or for the benefit of, an exploratory committee, in excess of two hundred fifty dollars. Any such committee may make unlimited contributions to a political committee formed solely to aid or promote the success or defeat of a referendum question.
- (c) [The] Except for contributions to, or for the benefit of, a candidate's campaign for the office of Governor, Lieutenant Governor, Secretary of the State, State Treasurer, State Comptroller, Attorney General, state senator or state representative, the limits imposed by subsection (a) of this section shall apply separately to primaries and elections and no such committee shall make contributions to the candidates designated in this section which in the aggregate exceed fifty thousand dollars for any single election and primary preliminary thereto.
  - (d) No political committee established by an organization shall make contributions in any one calendar year to, or for the benefit of, (1) the state central committee of a political party, in excess of five thousand dollars; (2) a town committee, in excess of one thousand dollars; or (3) any political committee, other than an exploratory committee or a committee formed solely to aid or promote the success or defeat of a referendum question, in excess of two thousand dollars.
  - (e) No political committee established by an organization shall make contributions to the committees designated in subsection (d) of this section, which in the aggregate exceed fifteen thousand dollars in any one calendar year. Contributions to a political committee established by an organization shall also be subject to the provisions of section 9-333t, as amended by this act, in the case of a committee formed for ongoing political activity or section 9-333u, as amended by this act, in the case of a committee formed for a single election or primary.
- Sec. 32. Section 9-333s of the general statutes is repealed and the

following is substituted in lieu thereof (*Effective January 1, 2007, and applicable to elections held in 2010, and thereafter*):

- (a) A party committee may make unlimited contributions to, or for the benefit of, any of the following: (1) Another party committee; (2) a candidate committee other than a candidate committee established to aid or promote the success of one candidate for nomination at a primary or election to the office of Governor, Lieutenant Governor, Secretary of the State, State Treasurer, State Comptroller, Attorney General, state senator or state representative; (3) a national committee of a political party; (4) a committee of a candidate for federal or out-of-state office; or (5) a political committee.
- 1619 (b) (1) No state central committee shall make a contribution in 1620 excess of (A) fifty thousand dollars to a candidate committee 1621 established to aid or promote the success of one candidate for 1622 nomination at a primary or election to the office of Governor, (B) ten 1623 thousand dollars to a candidate committee established to aid or 1624 promote the success of one candidate for nomination at a primary or 1625 election to the office of Lieutenant Governor, Secretary of the State, 1626 State Treasurer, State Comptroller or Attorney General, (C) five 1627 thousand dollars to a candidate committee established to aid or 1628 promote the success of one candidate for nomination at a primary or election to the office of state senator, or (D) two thousand five hundred 1629 1630 dollars to a candidate committee established to aid or promote the 1631 success of one candidate for nomination at a primary or election to the 1632 office of state representative.
  - (2) No town committee shall make a contribution in excess of (A) one thousand dollars to a candidate committee established to aid or promote the success of one candidate for nomination at a primary or election to the office of Governor, or (B) five hundred dollars to a candidate committee established to aid or promote the success of one candidate for nomination at a primary or election to the office of Lieutenant Governor, Secretary of the State, State Treasurer, State

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1640 Comptroller, Attorney General, state senator or state representative. 1641 (3) The limits imposed by this subsection shall not apply separately 1642 to primaries and elections. 1643 (c) (1) No candidate committee of a candidate for nomination or 1644 election to the office of Governor shall receive more than (A) fifty 1645 thousand dollars, in total, from state central committees, or (B) 1646 seventy-five thousand dollars, in total, from town committees. 1647 (2) No candidate committee of a candidate for nomination or 1648 election to the office of Lieutenant Governor, Attorney General, State 1649 Comptroller, State Treasurer or Secretary of the State shall receive more than (A) ten thousand dollars, in total, from state central 1650 1651 committees, or (B) twenty thousand dollars, in total, from town 1652 committees. 1653 (3) No candidate committee of a candidate for nomination or 1654 election to the office of state senator shall receive more than (A) five 1655 thousand dollars, in total, from state central committees, or (B) ten 1656 thousand dollars, in total, from town committees. 1657 (4) No candidate committee of a candidate for nomination or election to the office of state representative shall receive more than (A) 1658 1659 two thousand five hundred dollars, in total, from state central 1660 committees, or (B) five thousand dollars, in total, from town 1661 committees. 1662 (5) The limits imposed by this subsection shall not apply separately 1663 to primaries and elections. 1664 (d) A party committee may also make contributions to a charitable organization which is a tax-exempt organization under Section 1665 1666 501(c)(3) of the Internal Revenue Code, as from time to time amended, 1667 or make memorial contributions.

- 1668 [(b)] (e) A party committee may receive contributions from a federal 1669 account of a national committee of a political party, but may not 1670 receive contributions from any other account of a national committee 1671 of a political party or from a committee of a candidate for federal or 1672 out-of-state office, for use in the election of candidates subject to the 1673 provisions of this chapter.
- 1674 Sec. 33. Section 9-333t of the general statutes is repealed and the 1675 following is substituted in lieu thereof (Effective January 1, 2007, and 1676 applicable to elections held in 2010, and thereafter):
- 1677 (a) No political committee organized for ongoing political activities 1678 shall make contributions to, or for the benefit of, any candidate's 1679 campaign for nomination at a primary or for election to the office of: 1680 (1) Governor, in excess of one thousand dollars; (2) Lieutenant 1681 Governor, Secretary of the State, State Treasurer, State Comptroller or 1682 Attorney General, in excess of seven hundred fifty dollars; or (3) state 1683 senator or state representative, in excess of five hundred dollars. The 1684 limits imposed by this subsection shall not apply separately to 1685 primaries and elections.
- [(a)] (b) A political committee organized for ongoing political activities may make unlimited contributions to, or for the benefit of, a party committee; any national committee of a political party; a candidate committee other than a candidate committee established to 1690 aid or promote the success of one candidate for nomination at a primary or election to the office of Governor, Lieutenant Governor, Attorney General, Secretary of the State, State Treasurer, State Comptroller, state senator or state representative; or a committee of a candidate for federal or out-of-state office. No such political committee shall make a contribution or contributions in excess of two thousand dollars to another political committee in any calendar year except that a political committee organized by a business entity may make unlimited contributions to, or for the benefit of, another political committee organized by a business entity. No political committee

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1700 organized for ongoing political activities shall make a contribution in 1701 excess of two hundred fifty dollars to an exploratory committee. If 1702 such an ongoing committee is established by an organization or a 1703 business entity, its contributions shall be subject to the limits imposed by sections 9-3330 to 9-333q, inclusive, as amended by this act. A 1704 political committee organized for ongoing political activities may 1705 1706 make contributions to a charitable organization which is a tax-exempt 1707 organization under Section 501(c)(3) of the Internal Revenue Code, as 1708 from time to time amended, or make memorial contributions.

- [(b)] (c) A political committee organized for ongoing political activities may receive contributions from the federal account of a national committee of a political party, but may not receive contributions from any other account of a national committee of a political party or from a committee of a candidate for federal or out-of-state office.
- Sec. 34. Section 9-333u of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2007, and applicable to elections held in 2010, and thereafter*):
- 1718 (a) No political committee established for a single primary or election shall make contributions to, or for the benefit of, any 1719 1720 candidate's campaign for nomination at a primary or for election to the 1721 office of: (1) Governor, in excess of one thousand dollars; (2) 1722 Lieutenant Governor, Secretary of the State, State Treasurer, State 1723 Comptroller or Attorney General, in excess of seven hundred fifty 1724 dollars; or (3) state senator or state representative, in excess of five 1725 hundred dollars. The limits imposed by this subsection shall not apply 1726 separately to primaries and elections.
  - [(a)] (b) A political committee established for a single primary or election may make unlimited contributions to, or for the benefit of, a party committee or a candidate committee other than a candidate committee established to aid or promote the success of one candidate for nomination at a primary or election to the office of Governor,

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Lieutenant Governor, Attorney General, Secretary of the State, State Treasurer, State Comptroller, state senator or state representative, but no such political committee shall make contributions to a national committee, or a committee of a candidate for federal or out-of-state office. If such a political committee is established by an organization or a business entity, its contributions shall also be subject to the limitations imposed by sections 9-3330 to 9-333q, inclusive, as amended by this act. No political committee formed for a single election or primary shall, with respect to such election or primary make a contribution or contributions in excess of two thousand dollars to another political committee, provided no such political committee shall make a contribution in excess of two hundred fifty dollars to an exploratory committee.

[(b)] (c) A political committee established for a single primary or election shall not receive contributions from a committee of a candidate for federal or out-of-state office or from a national committee.

Sec. 35. Subsection (b) of section 9-333y of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2007, and applicable to elections held in 2010, and thereafter*):

(b) If any campaign treasurer or lobbyist fails to file the statements required by section 9-333j or subsection (g) of section 9-333l, as the case may be, within the time required, [he] the campaign treasurer of lobbyist shall pay a late filing fee of fifty-five dollars. In the case of a statement that is required to be filed with the Secretary of the State, the secretary shall, within ten days after the filing deadline, notify by certified mail, return receipt requested, the person required to file that, if such statement is not filed within twenty-one days after the deadline, the person is in violation of said section or subsection. If the person does not file such statement within twenty-one days after the deadline, the secretary shall notify the State Elections Enforcement Commission within twenty-eight days after the deadline. In the case of a copy of a

statement that is required to be filed with the State Elections Enforcement Commission, the commission shall, not later than ten days after the filing deadline, notify by certified mail, return receipt requested, the person required to file that if such statement is not filed within twenty-one days after the deadline the person is in violation of section 9-333j. In the case of a statement that is required to be filed with a town clerk, the town clerk shall forthwith after the filing deadline notify by certified mail, return receipt requested, the person required to file that, if such statement is not filed within seven days after receiving such notice, the town clerk shall notify the State Elections Enforcement Commission that the person is in violation of said section or subsection. The penalty for any violation of said section or subsection shall be a fine of not more than one thousand dollars or imprisonment for not more than one year or both.

Sec. 36. Section 9-7b of the general statutes, as amended by section 2 of public act 03-223 and sections 53 and 65 of public act 03-241, is repealed and the following is substituted in lieu thereof (*Effective January 1, 2007, and applicable to elections held in 2010, and thereafter*):

- (a) The State Elections Enforcement Commission shall have the following duties and powers:
- (1) To make investigations on its own initiative or with respect to statements filed with the commission by the Secretary of the State or any town clerk, or upon written complaint under oath by any individual, with respect to alleged violations of any provision of the general statutes or sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act, relating to any election or referendum, any primary held pursuant to section 9-423, 9-425 or 9-464 or any primary held pursuant to a special act, and to hold hearings when the commission deems necessary to investigate violations of any provisions of the general statutes or sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act, relating to any such election, primary or referendum, and for the purpose of such hearings the commission may administer

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oaths, examine witnesses and receive oral and documentary evidence, and shall have the power to subpoena witnesses under procedural rules the commission shall adopt, to compel their attendance and to require the production for examination of any books and papers which the commission deems relevant to any matter under investigation or in question. In connection with its investigation of any alleged violation of any provision of chapter 145, or of any provision of section 9-359 or section 9-359a, the commission shall also have the power to subpoena any municipal clerk and to require the production for examination of any absentee ballot, inner and outer envelope from which any such ballot has been removed, depository envelope containing any such ballot or inner or outer envelope as provided in sections 9-150a and 9-150b and any other record, form or document as provided in section 9-150b, in connection with the election, primary or referendum to which the investigation relates. In case of a refusal to comply with any subpoena issued pursuant to this subsection or to testify with respect to any matter upon which that person may be lawfully interrogated, the superior court for the judicial district of Hartford, on application of the commission, may issue an order requiring such person to comply with such subpoena and to testify; failure to obey any such order of the court may be punished by the court as a contempt thereof. In any matter under investigation which concerns the operation or inspection of or outcome recorded on any voting machine, the commission may issue an order to the municipal clerk to impound such machine until the investigation is completed;

(2) To levy a civil penalty not to exceed (A) two thousand dollars per offense against any person the commission finds to be in violation of any provision of chapter 145, part V of chapter 146, part I of chapter 147, chapter 148, section 9-12, subsection (a) of section 9-17, section 9-19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a, 9-23g, 9-23h, 9-23j to 9-23o, inclusive, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-35c, 9-40a, 9-42, 9-43, 9-50a, 9-56, 9-59, 9-168d, 9-170, 9-171, 9-172, 9-409, 9-410, 9-412, 9-436, 9-436a, 9-453e to 9-453h, inclusive, 9-453k, 9-453o<sub>z</sub> [or] sections 1 to 3, inclusive, of [this act] public act 03-241, or sections 1 to 4, inclusive, 6 to

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24, inclusive, and 38 and 39 of this act, or (B) two thousand dollars per offense or twice the amount of any improper payment or contribution, whichever is greater, against any person the commission finds to be in violation of any provision of chapter 150. The commission may levy a civil penalty against any person under subparagraph (A) or (B) of this subdivision only after giving the person an opportunity to be heard at a hearing conducted in accordance with sections 4-176e to 4-184, inclusive. In the case of failure to pay any such penalty levied pursuant to this subsection [within] not later than thirty days of written notice sent by certified or registered mail to such person, the superior court for the judicial district of Hartford, on application of the commission, may issue an order requiring such person to pay the penalty imposed and such court costs, state marshal's fees and attorney's fees incurred by the commission as the court may determine. Any civil penalties paid, collected or recovered under subparagraph (B) of this subdivision for a violation of any provision of chapter 150 applying to the office of the Treasurer shall be deposited on a pro rata basis in any trust funds, as defined in section 3-13c, affected by such violation;

(3) (A) To issue an order requiring any person the commission finds to have received any contribution or payment which is prohibited by any of the provisions of chapter 150, after an opportunity to be heard at a hearing conducted in accordance with the provisions of sections 4-176e to 4-184, inclusive, to return such contribution or payment to the donor or payor, or to remit such contribution or payment to the state for deposit in the General Fund, whichever is deemed necessary to effectuate the purposes of chapter 150;

(B) To issue an order when the commission finds that an intentional violation of any provision of chapter 150 has been committed, after an opportunity to be heard at a hearing conducted in accordance with sections 4-176e to 4-184, inclusive, which order may contain one or more of the following sanctions: (i) Removal of a campaign treasurer, deputy campaign treasurer or solicitor; (ii) prohibition on serving as a campaign treasurer, deputy campaign treasurer or solicitor, for a

period not to exceed four years; and (iii) in the case of a party committee or a political committee, suspension of all political activities, including, but not limited to, the receipt of contributions and the making of expenditures, provided the commission may not order such a suspension unless the commission has previously ordered the removal of the campaign treasurer and notifies the officers of the committee that the commission is considering such suspension;

- (C) To issue an order revoking any person's eligibility to be appointed or serve as an election, primary or referendum official or unofficial checker or in any capacity at the polls on the day of an election, primary or referendum, when the commission finds such person has intentionally violated any provision of the general statutes relating to the conduct of an election, primary or referendum, after an opportunity to be heard at a hearing conducted in accordance with sections 4-176e to 4-184, inclusive;
- (4) To issue an order to a candidate committee which receives moneys from the Citizens' Election Fund pursuant to sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act, to comply with the provisions of said sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39, after an opportunity to be heard at a hearing conducted in accordance with the provisions of sections 4-176e to 4-184, inclusive;
- [(4)] (5) To inspect or audit at any reasonable time and upon reasonable notice the accounts or records of any campaign treasurer or principal campaign treasurer, as required by chapter 150 and sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act, and to audit any such election, primary or referendum held within the state; provided, (A) (i) not later than two months preceding the day of an election at which a candidate is seeking election, the commission shall complete any audit it has initiated in the absence of a complaint that involves a committee of the same candidate from a previous election, and (ii) during the two-month period preceding the day of an election at which a candidate is seeking election, the commission shall not

- 1895 initiate an audit in the absence of a complaint that involves a 1896 committee of the same candidate from a previous election, and (B) the 1897 commission shall not audit any caucus, as defined in subdivision (1) of 1898 section 9-372;
- 1899 [(5)] (6) To attempt to secure voluntary compliance, by informal 1900 methods of conference, conciliation and persuasion, with any 1901 provision of chapters 149 to 153, inclusive, or any other provision of 1902 the general statutes relating to any such election, primary or 1903 referendum;
- 1904 [(6)] (7) To consult with the Secretary of the State, the Chief State's 1905 Attorney or the Attorney General on any matter which the commission 1906 deems appropriate;
- 1907 [(7)] (8) To refer to the Chief State's Attorney evidence bearing upon 1908 violation of any provision of chapters 149 to 153, inclusive, or any 1909 other provision of the general statutes or sections 1 to 4, inclusive, 6 to 1910 24, inclusive, and 38 and 39 of this act, pertaining to or relating to any 1911 such election, primary or referendum;
- 1912 [(8)] (9) To refer to the Attorney General evidence for injunctive 1913 relief and any other ancillary equitable relief in the circumstances of 1914 subdivision [(7)] (8) of this [section] subsection. Nothing in this subdivision shall preclude a person who claims that [he] such person is 1916 aggrieved by a violation of any provision of chapter 152 or any other 1917 provision of the general statutes relating to referenda from pursuing 1918 injunctive and any other ancillary equitable relief directly from the 1919 Superior Court by the filing of a complaint;
  - [(9)] (10) To refer to the Attorney General evidence pertaining to any ruling which the commission finds to be in error made by election officials in connection with any election, primary or referendum. Those remedies and procedures available to parties claiming to be aggrieved under the provisions of sections 9-323, 9-324, as amended by this act, 9-328 and 9-329a shall apply to any complaint brought by the Attorney

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- 1926 General as a result of the provisions of this subdivision;
- [(10)] (11) To consult with the United States Department of Justice
- 1928 and the United States Attorney for Connecticut on any investigation
- 1929 pertaining to a violation of this section, section 9-12, subsection (a) of
- 1930 section 9-17 or section 9-19b, 9-19e, 9-19g, 9-19h, 9-19i, 9-20, 9-21, 9-23a,
- 1931 9-23g, 9-23h, 9-23j to 9-23o, inclusive, 9-26, 9-31a, 9-32, 9-35, 9-35b, 9-
- 1932 35c, 9-40a, 9-42, 9-43, 9-50a, 9-56 or 9-59 and to refer to said department
- and attorney evidence bearing upon any such violation for prosecution
- 1934 under the provisions of the National Voter Registration Act of 1993,
- 1935 P.L. 103-31, as amended from time to time;
- 1936 [(11)] (12) To inspect reports filed with the Secretary of the State and
- 1937 with town clerks pursuant to chapter 150 and refer to the Chief State's
- 1938 Attorney evidence bearing upon any violation of law therein if such
- 1939 violation was committed knowingly and wilfully;
- 1940 [(12)] (13) To intervene in any action brought pursuant to the
- 1941 provisions of sections 9-323, 9-324, as amended by this act, 9-328 and 9-
- 1942 329a upon application to the court in which such action is brought
- when in the opinion of the court it is necessary to preserve evidence of
- 1944 possible criminal violation of the election laws;
- 1945 [(13)] (14) To adopt and publish regulations pursuant to chapter 54
- to carry out the provisions of section 9-7a, this section, sections 1 to 4,
- inclusive, 6 to 24, inclusive, and 38 and 39 of this act, and chapter 150;
- 1948 to issue upon request and publish advisory opinions in the
- 1949 Connecticut Law Journal upon the requirements of chapter 150 and
- sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act,
- 1951 and to make recommendations to the General Assembly concerning
- 1952 suggested revisions of the election laws;
- 1953 [(14)] (15) To the extent that the Elections Enforcement Commission
- 1954 is involved in the investigation of alleged or suspected criminal
- violations of any provision of the general statutes or sections 1 to 4,
- inclusive, 6 to 24, inclusive, and 38 and 39 of this act, pertaining to or

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- 1957 relating to any such election, primary or referendum and is engaged in 1958 such investigation for the purpose of presenting evidence to the Chief 1959 State's Attorney, the Elections Enforcement Commission shall be 1960 deemed a law enforcement agency for purposes of subdivision (3) of 1961 subsection (b) of section 1-210, provided nothing in this section shall be 1962 construed to exempt the Elections Enforcement Commission in any 1963 other respect from the requirements of the Freedom of Information 1964 Act, as defined in section 1-200;
- 1965 [(15)] (16) To enter into such contractual agreements as may be 1966 necessary for the discharge of its duties, within the limits of its appropriated funds and in accordance with established procedures; 1967 1968
  - [(16)] (17) To provide the Secretary of the State with notice and copies of all decisions rendered by the commission in contested cases, advisory opinions and declaratory judgments, at the time such decisions, judgments and opinions are made or issued.
- 1973 (b) In the case of a refusal to comply with an order of the 1974 commission issued pursuant to subdivision (3) of subsection (a) of this 1975 section, the superior court for the judicial district of Hartford, on 1976 application of the commission, may issue a further order to comply. 1977 Failure to obey such further order may be punished by the court as a 1978 contempt thereof.
- 1979 Sec. 37. Section 9-324 of the general statutes is repealed and the 1980 following is substituted in lieu thereof (Effective January 1, 2007, and 1981 applicable to elections held in 2010, and thereafter):
- 1982 Any elector or candidate who claims that [he] such elector or 1983 candidate is aggrieved by any ruling of any election official in 1984 connection with any election for Governor, Lieutenant Governor, 1985 Secretary of the State, State Treasurer, Attorney General, State 1986 Comptroller or judge of probate, held in [his] such elector's or 1987 <u>candidate's</u> town, or that there has been a mistake in the count of the

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1988 votes cast at such election for candidates for said offices or any of 1989 them, at any voting district in [his] such elector's or candidate's town, 1990 or any candidate for such an office who claims that [he] such candidate is aggrieved by a violation of any provision of [sections] section 9-355, 1991 1992 9-357 to 9-361, inclusive, 9-364, 9-364a or 9-365 in the casting of 1993 absentee ballots at such election or any candidate for the office of 1994 Governor, Lieutenant Governor, Secretary of the State, State Treasurer, 1995 Attorney General or State Comptroller, who claims that such candidate 1996 is aggrieved by a violation of any provision of sections 1 to 4, inclusive, 1997 6 to 24, inclusive, and 38 and 39 of this act, may bring [his] such 1998 elector's or candidate's complaint to any judge of the Superior Court, 1999 in which [he] such elector or candidate shall set out the claimed errors 2000 of such election official, the claimed errors in the count or the claimed 2001 violations of said sections. In any action brought pursuant to the 2002 provisions of this section, the complainant shall send a copy of the 2003 complaint by first-class mail, or deliver a copy of the complaint by 2004 hand, to the State Elections Enforcement Commission. If such 2005 complaint is made prior to such election, such judge shall proceed 2006 expeditiously to render judgment on the complaint and shall cause 2007 notice of the hearing to be given to the Secretary of the State and the 2008 State Elections Enforcement Commission. If such complaint is made 2009 subsequent to the election, it shall be brought [within] not later than 2010 fourteen days of the election and such judge shall forthwith order a 2011 hearing to be had upon such complaint, upon a day not more than five 2012 nor less than three days from the making of such order, and shall cause 2013 notice of not less than three nor more than five days to be given to any 2014 candidate or candidates whose election may be affected by the decision 2015 upon such hearing, to such election official, the Secretary of the State, 2016 the State Elections Enforcement Commission and to any other party or 2017 parties whom such judge deems proper parties thereto, of the time and 2018 place for the hearing upon such complaint. Such judge shall, on the 2019 day fixed for such hearing and without unnecessary delay, proceed to 2020 hear the parties. If sufficient reason is shown, [he] such judge may 2021 order any voting machines to be unlocked or any ballot boxes to be

opened and a recount of the votes cast, including absentee ballots, to be made. Such judge shall thereupon, in case [he] <u>such judge</u> finds any error in the rulings of the election official, any mistake in the count of the votes or any violation of said sections, certify the result of [his] <u>such judge's</u> finding or decision to the Secretary of the State before the fifteenth day of the next succeeding December. Such judge may order a new election or a change in the existing election schedule. Such certificate of such judge of [his] <u>such judge's</u> finding or decision shall be final and conclusive upon all questions relating to errors in the rulings of such election officials, to the correctness of such count, and, for the purposes of this section only, such claimed violations, and shall operate to correct the returns of the moderators or presiding officers, so as to conform to such finding or decision, unless the same is appealed from as provided in section 9-325.

Sec. 38. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) (a) Not later than May 15, 2006, and annually thereafter, the State Elections Enforcement Commission shall issue a report on the status of the Citizens' Election Fund during the previous calendar year. Such report shall include the amount of moneys deposited in the fund, the sources of moneys received by category, the number of contributions, the number of contributors, the amount of moneys expended by category, the recipients of moneys distributed from the fund and an accounting of the costs incurred by the commission in administering the provisions of sections 1 to 4, inclusive, 6 to 24, inclusive, and 38 and 39 of this act. Not later than May 1, 2006, and annually thereafter, the Commissioner of Revenue Services shall submit to the commission the information in the possession of the commissioner which the commission needs to complete such report.

(b) Not later than June 1, 2006, and annually thereafter, the joint standing committee of the General Assembly having cognizance of matters relating to elections shall submit a report to the General Assembly on the implementation of the provisions of this act. The

report shall include a summary of the report on the status of the fund submitted to the committee under subsection (a) of this section. The report submitted not later than June 1, 2011, and every four years thereafter, shall also include a review of the implementation of the provisions of this act with regard to the election held during the preceding calendar year for the offices of Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer, Secretary of the State, state senator and state representative.

Sec. 39. (NEW) (Effective July 1, 2004, and applicable to elections held in 2010, and thereafter) If a court of competent jurisdiction determines that any provision of sections 1 to 4, inclusive, 6 to 24, inclusive, and sections 38 and 39 of this act is unconstitutional, such action shall not affect the implementation of all remaining provisions of said sections.

This act shall take effect as follows:	
Section 1	July 1, 2004, and applicable to elections held in 2010, and thereafter
Sec. 2	July 1, 2004, and applicable to elections held in 2010, and thereafter
Sec. 3	July 1, 2004, and applicable to taxable years commencing on or after January 1, 2004
Sec. 4	July 1, 2004, and applicable to taxable years commencing on or after January 1, 2004
Sec. 5	July 1, 2004, and applicable to elections held in 2010, and thereafter
Sec. 6	July 1, 2004
Sec. 7	July 1, 2004
Sec. 8	July 1, 2004, and applicable to elections held in 2010, and thereafter
Sec. 9	July 1, 2004, and applicable to elections held in 2010, and thereafter
Sec. 10	July 1, 2004, and applicable to elections held in 2010, and thereafter
Sec. 11	July 1, 2004, and applicable to elections held in 2010, and thereafter
Sec. 12	July 1, 2004, and applicable to elections held in 2010, and thereafter

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Sec. 13	July 1, 2004, and applicable to elections held in 2010,
	and thereafter
Sec. 14	July 1, 2004, and applicable to elections held in 2010,
	and thereafter
Sec. 15	July 1, 2004, and applicable to elections held in 2010,
	and thereafter
Sec. 16	July 1, 2004, and applicable to elections held in 2010,
	and thereafter
Sec. 17	July 1, 2004, and applicable to elections held in 2010,
	and thereafter
Sec. 18	July 1, 2004, and applicable to elections held in 2010,
	and thereafter
Sec. 19	July 1, 2004, and applicable to elections held in 2010,
	and thereafter
Sec. 20	July 1, 2004, and applicable to elections held in 2010,
	and thereafter
Sec. 21	July 1, 2004, and applicable to elections held in 2010,
	and thereafter
Sec. 22	July 1, 2004, and applicable to elections held in 2010,
	and thereafter
Sec. 23	July 1, 2004, and applicable to elections held in 2010,
	and thereafter
Sec. 24	July 1, 2004, and applicable to elections held in 2010,
	and thereafter
Sec. 25	January 1, 2007, and applicable to elections held in 2010,
	and thereafter
Sec. 26	January 1, 2007, and applicable to elections held in 2010,
	and thereafter
Sec. 27	January 1, 2007, and applicable to elections held in 2010,
	and thereafter
Sec. 28	January 1, 2007, and applicable to elections held in 2010,
	and thereafter
Sec. 29	January 1, 2007, and applicable to elections held in 2010,
	and thereafter
Sec. 30	January 1, 2007, and applicable to elections held in 2010,
	and thereafter
Sec. 31	January 1, 2007, and applicable to elections held in 2010,
	and thereafter
Sec. 32	January 1, 2007, and applicable to elections held in 2010,
	and thereafter
Sec. 33	January 1, 2007, and applicable to elections held in 2010,
	and thereafter

Sec. 34	January 1, 2007, and applicable to elections held in 2010, and thereafter
Sec. 35	January 1, 2007, and applicable to elections held in 2010, and thereafter
Sec. 36	January 1, 2007, and applicable to elections held in 2010, and thereafter
Sec. 37	January 1, 2007, and applicable to elections held in 2010, and thereafter
Sec. 38	July 1, 2004, and applicable to elections held in 2010, and thereafter
Sec. 39	July 1, 2004, and applicable to elections held in 2010, and thereafter

## Statement of Purpose:

To establish a voluntary program of comprehensive campaign finance reform for the election of the Governor, Lieutenant Governor, Attorney General, State Comptroller, State Treasurer, and Secretary of the State, and state senators and state representatives, starting in 2010. The program would (1) provide grants to candidates who (A) raise threshold levels of qualifying contributions from sources other than state contractors, lobbyists and political committees, and (B) agree to limit campaign spending to qualifying contributions, grants under this program and contributions from political parties, (2) fund the grants through use of a voluntary taxpayer check-off system, donated campaign surpluses, contributions, and payments of civil penalties and late fees imposed by the State Elections Enforcement Commission, the Secretary of the State and the State Ethics Commission, (3) reduce maximum campaign contributions from individuals and (4) impose limits on campaign contributions from political committees established for single elections or ongoing political activities.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]